issmer, La	ıra (Vol. 01) - 04/16/2018	1 CLIP (RUNNING 00:10:38.
BY MR. L	ALITENI.	
LW	25 SEGMENTS (RUNNING 00:10:38.540)	
1. PAGE 8:20	TO 8:21 (RUNNING 00:00:02.372)	
20 21	z a antista Tanta Tanta a antista Tantana Antista antista antista antista antista antista antista antista antis	
2. PAGE 9:17	7 TO 10:08 (RUNNING 00:00:41.208)	
17 18 20 20 20 20	 that have represented you in the lawsuit. Your first lawyer was John Round [phonetic]; is that correct? A. That was Steve's lawyer, yes. Q. Okay. Was John Round fired? A. Yes. 	
00010:01	\tilde{A} . I I don't know. That was Steve's attorney, so I'm not sure.	
03	 A. I don't know. Q. All right. Lyle Pishny [phonetic], was that a lawyer that represented you? A. Yes. 	
08		
3. PAGE 10:"	2 TO 10:17 (RUNNING 00:00:17.280)	
12 13	Q. All right. Do you know if Mr. Pishny is of the opinion that there are moneys owed to him that were not	2
15	documents he was asked to produce because he was	2
4. PAGE 11:"	8 TO 11:25 (RUNNING 00:00:13.727)	
18 19 20 22 22 22 24 24 25	<pre>lawyers you hired were Mark Enoch and Gary Stolbach [phonetic] with the law firm of Glast, Phillip & Murray, correct? A. Correct. Q. And you fired that set of lawyers, too, correct?</pre>	
5. PAGE 13:"	8 TO 14:19 (RUNNING 00:01:02.643)	
18 19 20 22 22 23 24 25	 Yes. You fired Gary Stolbach and Mark Enoch with Glast, Phillips & Murray, correct? A. Yes. Q. And at the time that you fired them, they were owed, according to them, approximately \$300,000 A. According 	
00014:02 02 03	Q. And that money was never paid to them, correct? A. It no. It was part of the settlement.	

Hopper vs JPMC

05 you not only terminated Glast, Phillips & Murray, you hired Mr. Vitullo to sue Glast, Phillips & Murray, 06 07 correct? A. Correct. 08 09 Q. And in that legal malpractice case, it actually 10 settled for \$990,000, correct? 11 A. Yes. Q. And you're -- you and Dr. Hopper got all of the 12 recovery on that, less the contingency that was paid to 13 Mr. Vitullo, correct? 14 A. And less taxes, yes. 15 Q. Okay. In addition to that, you didn't have to 16 pay the \$300,000 and change that Glast, Phillips & 17 Murray said they were owed, correct? 18 19 A. Yes. 6. PAGE 16:23 TO 17:22 (RUNNING 00:00:51.870) Q. (BY MR. LAUTEN) Okay. And you also fired 23 24 James Bell [phonetic] in this lawsuit, correct? 25 A. Yes.Q. But with respect to Mr. Bell, he had already 00017:01 been paid a \$200,000 up-front, flat fee, correct? 02 03 A. Yes. 04 Q. All right. So in this lawsuit, before we even 05 talk about Mr. Vitullo, six lawyers have been fired by 06 you or your brother, correct? 07 A. Correct. Q. And five out of those six all claimed, at least 08 09 at one point in time, that they were not paid in whole 10 or in part, correct? A. Correct. 11 12 Q. All right. And of those six, the only one that 13 claims -- or did not claim that he wasn't paid was 14 Mr. Bell, because that fee was paid in advance, correct? A. Yes. 15 Q. All right. So Mr. Vitullo would be the seventh 16 17 lawyer that you fired in this case, correct? A. Correct.Q. And he would be the sixth of seven lawyers who 18 19 20 claims he's entitled to money that he was not paid, 21 correct? 22 A. Correct. 7. PAGE 18:07 TO 18:12 (RUNNING 00:00:26.076) 07 Q. (BY MR. LAUTEN) I'm going to show you what 08 I'll mark as Exhibit 2 for identification. Is Exhibit 2 09 a true and correct copy of the contingency fee contract 10 that you signed? 11 (Exhibit Number 2 marked.) 12 A. Yes. 8. PAGE 19:20 TO 20:10 (RUNNING 00:00:29.941) 20 Q. (BY MR. LAUTEN) All right. Did you read Exhibit 2 before you signed it? 21 A. Exhibit 2 -- of the document? 22 Q. The contingency agreement. A. Did I read it, yes. 23 24 Q. All right. Did you agree to be bound by it at 25 00020:01 the time you signed it? 02 A. Yes. 03 Q. All right. The interlineations on the first 04 page of paragraph -- under paragraph 1, are those 05 your -- are those your lines --A. Yes. Q. -- your edits? 06 07

08 A. Yes. 09 Q. Are those your initials? 10 A. Yes.

9. PAGE 21:24 TO 22:03 (RUNNING 00:00:29.527)

Q. Okay. So you tell me exactly what your
intention was when you made that change to paragraph 1.
00022:01 A. Well, what I meant was when Chase was coming
back and suing us for their attorney fees, that Lenny
was going to represent us regarding that as well.

10. PAGE 22:12 TO 22:24 (RUNNING 00:00:30.205)

12 Q. Did you intend to compensate him for defending 13 claims when you signed this agreement? A. Yes, I did. 14 Q. Okay. With what?A. With the contingency fee. 15 16 Q. Okay. Well, did -- did -- was it your 17 18 intention that he would be compensated a percentage on 19 winning any lawsuit that was filed against you? A. That was the intention, yes. 20 21 Q. Okay. So you intended to pay him 45 percent of 22 any claim he would successfully defend from Chase, 23 correct? 24 A. Yes. At the time we signed this, yes.

11. PAGE 43:18 TO 43:23 (RUNNING 00:00:10.846)

18 Q. (BY MR. LAUTEN) What is the amount of money 19 that you believe you owe to Mr. Vitullo and his firm 20 right now, if any? 21 A. I can't answer that question. I'm asking --22 Q. Okay. 23 A. -- an arbitrator to help us figure that out.

12. PAGE 59:11 TO 59:14 (RUNNING 00:00:11.384)

11 Q. (BY MR. LAUTEN) Let -- let's -- let's just --12 let's try it this way. It's a fact that you were sued 13 by JPMorgan Chase for in excess of \$3 million, correct? 14 A. That's not what I recall, no.

13. PAGE 60:01 TO 61:02 (RUNNING 00:00:56.814)

00060:01 Q. I -- I'm not talking about what they dropped it $02\,$ to. At the time that this case went to trial, when 03 Mr. Vitullo walked into that courtroom, the amount you 04 were being sued for was in excess of \$3 million, 05 correct? 06 A. I'm not sure. Q. And the contingency contract that you changed 07 08 made him responsible for defending those claims, 09 correct? 10 A. He was responsible for defending all claims, 11 yes. 12 Q. And the -- and after the trial, JPMorgan Chase got a zero verdict on the counterclaim they were suing 13 14 you for, correct? A. Well, they dropped the claim. 15 16 Q. They dropped the claim, correct? A. Yes.Q. And the person that was responsible for 17 18 19 defending those claims, under the agreement you signed, 20 was Mr. Vitullo, correct? A. Yes. But I'm not sure I'm giving him total 21 22 credit for that. 23 Q. I get it. You're not giving credit to any of

24 the lawyers. I -- I'll give you that credit. 25 But the fact of the matter is that was a 00061:01 valuable legal service that Mr. Vitullo provided, 02 correct?

14. PAGE 61:05 TO 61:11 (RUNNING 00:00:16.847)

05 Q. (BY MR. LAUTEN) Correct? 06 A. Can -- can you ask that again, please. 07 Q. Sure. You -- you -- if someone sued me for in 08 excess of \$3 million and the claim got dismissed, I'd be 09 pretty happy with my lawyer. 10 Are you happy with the fact that the 11 counterclaims got dismissed?

15. PAGE 61:14 TO 61:23 (RUNNING 00:00:18.579)

A. I was happy that they were dismissed, yes.
Q. (BY MR. LAUTEN) All right. You were also sued
by Ms. Hooper for her percentage of the dec action
claim, correct?
A. Yes.
Q. And that exposure was in excess of \$1 million,
correct?
A. Yes.
Q. And Mr. Vitullo is the only lawyer on your
pleadings that went to that hearing, correct?

16. PAGE 61:25 TO 62:04 (RUNNING 00:00:12.084)

25 A. I -- I'm not sure who went to that hearing. 00062:01 Q. (BY MR. LAUTEN) It's a fact that Mr. Vitullo 02 wasn't even hired to defend the attorney's fees claim 03 that Ms. Hopper was making against you, was he? 04 A. James --

17. PAGE 62:06 TO 62:10 (RUNNING 00:00:13.644)

06 A. James Bell was hired to do that.

07 Q. (BY MR. LAUTEN) And the answer to my question 08 is, No. Mr. Vitullo was not even hired to defend you on 09 the claim in excess of \$1 million that Ms. Hopper was 10 making for attorney's fees against you, correct?

18. PAGE 62:12 TO 62:16 (RUNNING 00:00:13.003)

12 A. Correct.

13 Q. (BY MR. LAUTEN) But yet Mr. Vitullo is the

- 14 lawyer that went to that hearing, and argued against the 15 over \$1 million attorney's fees claim that Ms. Hopper
- 16 was making against you, correct?

19. PAGE 62:18 TO 62:22 (RUNNING 00:00:09.729)

18 A. Yes. He and James were working together on
19 this.
20 Q. (BY MR. LAUTEN) And the Judge ordered that you
21 pay zero in attorney's fees to Ms. Hopper, correct?
22 A. Correct.

20. PAGE 63:14 TO 63:19 (RUNNING 00:00:14.390)

Q. (BY MR. LAUTEN) All right. That's fine. And addition to that, you got a \$990,000 settlement for the third set of lawyers that you hired, that you sued for malpractice, correct? A. We didn't end up with that, but that was the settlement, yes.

21. PAGE 63:22 TO 64:09 (RUNNING 00:00:27.104)

Q. So let's just go through this. You have a 2.2 23 zero pretrial offer, correct? 24 A. Yes. 25 Q. You have over a million dollars that 00064:01 Mr. Vitullo successfully argued to prevent Ms. Hopper 02 from getting attorney's fees against you, correct? A. Uh-huh, yes. 0.3 Q. In a matter that you had not even retained him 04 05 to represent you on, correct? A. Correct.Q. You go to trial and you get a 4 billion plus 06 07 verdict with punitive damages, correct? 08 09 A. Yes.

22. PAGE 86:02 TO 86:14 (RUNNING 00:00:31.067)

02 Q. Okay. Have you ever apologized to Lenny for acting ungrateful for his hard work? 03 04 Have you ever done that? A. I've not felt the need to apologize to Lenny. 05 06 Q. Have you ever apologized to Lenny for being 07 ungrateful for how you've treated him? 08 Have you ever done that? A. I don't feel I owe Lenny an apologize -- an 09 10 explanation or an apology for being ungrateful. Q. I'm talking about in the past. Have you ever 11 written to Lenny and apologized for being ungrateful? 12 13 Have you ever done that? 14 Α. I don't consider myself ungrateful, no.

23. PAGE 87:15 TO 88:20 (RUNNING 00:00:59.779)

15 Q. All right. Now look at Exhibit 12. The same 16 day, right? 17 A. Yes. Q. Later that night, correct? 18 19 A. Yes. 20 Q. [As read] "Lenny, thank you for taking the time 21 to call tonight.' A. Uh-huh. 22 Q. This is after hours, correct? 23 A. Uh-huh. 24 25 8:26. Q. 00088:01 A. Uh-huh. Q. [As read] "Our conversation was helpful. 02 03 Again, I apologize for coming across as ungrateful for 04 all you are doing, and for taking my frustration with 05 Joe out on you. I know that you, James, and the entire 06 team are working hard for us. I'm scared and just 07 needed some reassurance. As Steve mentioned, I think getting some 08 09 additional response to our e-mails, to know if we are on 10 the right track or not, would be helpful. I don't know 11 if anything below would be helpful; just some notes I jotted down as I read through the declaratory judgment 12 13 action. Please let me know if there's any additional 14 information I can provide. 15 "Thanks again, Laura." 16 Is this your e-mail, ma'am? A. That is. Would you like the background on 17 18 that? 19 Q. Is this your e-mail, ma'am? 20 A. Yes. Would you like the background?

24. PAGE 89:14 TO 89:19 (RUNNING 00:00:19.646)

14 Q. (BY MR. LAUTEN) All right. Did -- when you 15 told him you apologized for your ungrateful behavior, 16 did you -- was it sincere at the time? 17 A. You know, it wasn't that sincere. It was I 18 felt that if I did not suck up to Lenny at that moment, 19 he was going to walk out of our case. 25. PAGE 116:21 TO 117:03 (RUNNING 00:00:18.775)

21 Q. (BY MR. MALESOVAS) The only fee agreement you' 22 ever had with me with respect to JPMorgan is Exhibit 23 Number 2. 24 Is that true? 25 A. I can't remember if you were on the hourly 00117:01 agreement. 02 Q. I wasn't. 03 A. Okay. Then yes.

TOTAL: 1 CLIP FROM 1 DEPOSITION (RUNNING 00:10:38.540)

oper, Stephe	n (Vol. 01) - 04/16/2018	1 CLIP (RUNNING 00:17:25	
Would you state your name, please, sir			
would you st	ate your name, please, sit		
<u>SH03</u>	31 SEGMENTS (RUNNING 00:17:25.199)		
1. PAGE 7:13 T	O 7:14 (RUNNING 00:00:02.856)		
13 14	Q. Would you state your name, please, sir. A. Stephen Hopper.		
2. PAGE 8:20 T	O 9:06 (RUNNING 00:00:41.420)		
20	Q. (BY MR. MALESOVAS) Shortly after trial, within		
	a week or two, you retained Mr. Pennington, true?		
22 23	A. True. Q. You retained Mr. Pennington, you said, because		
	you had questions, in part, about Mr. Vitullo's		
	performance at trial, true?		
00009:01	A. True.		
02	Q. Sometime in October 2017, you and your sister		
	wanted to terminate Mr. Vitullo, true?		
04 05	A. Well, I think my sister was probably more inclined to terminate Mr. Vitullo. I was more inclined		
	to hear what her new lawyers had to say.		
	O 10:01 (RUNNING 00:00:28.909)		
15	Q. Okay. So sometime in October 2017, your sister	4	
	wanted to terminate Mr. Vitullo, true?		
17	A. I believe so, yes.		
18	Q. And sometime in October 2017, you had enough		
	questions about Mr. Vitullo's performance that you hired	6	
20 21	another attorney to advise you about terminating or not terminating		
22	A. That'd be correct.		
23	Q terminating him. Is that true?		
24	A. That's true.		
25	Q. And that other attorney was Mr. Pennington?		
00010:01	A. Yes, that's true.		
4. PAGE 10:10	TO 11:19 (RUNNING 00:01:58.891)		
10	Q. So you hired Mr. Pennington in October 2017.		
	And within a couple of days, you then hired Mr. Levinger in October of 2017?		
13	A. I I may have the you know, I I can't		
14	tell you how many days, but it was probably within the		
15	same week, yes.		
16	Q. Okay. I'm going to hand you some of the		
17 18	documents you produced here today, Exhibit Number 17. This is a letter dated October 11th, 2017, from		
19	Mr. Levinger to you. And is this your sorry, I only		
20	have one copy, because that's all you brought.		
21	But is that your fee agreement with		
22	Mr. Levinger?		
23 24	(Exhibit Number 17 marked.) A. Yes.		
	Q. And the date of that fee agreement is		
24	L. THE THE THE TE THE TO TOO AND ADD		
	October 11th, 2017?		
25 00011:01 02	A. That's correct.		
25 00011:01	No an anti-contractor and a second		

06 A. That's my best recollection, yes. 07 Q. You -- you didn't tell Mr. Vitullo you hired 08 Mr. Pennington until December 2017, correct? A. That's correct. 09 Q. You told Mr. Levinger you had hired 10 11 Mr. Vitullo -- excuse me. Rephrase. 12 You told Mr. Levinger you had hired 13 Mr. Pennington at the time you hired Mr. Levinger, true? A. Yes. I -- that's my best recollection, yes. 14 You asked Mr. Levinger to communicate with 15 Ο. 16 Mr. Pennington, true? A. Well, that's a very broad statement. Yes. I 17 18 anticipated that they would be communicating, but I also viewed their representation as separate. 19 5. PAGE 12:11 TO 13:10 (RUNNING 00:01:11.063) These are coming out of Exhibit 8. Exhibit 18, sir, I 11 only have one copy, but I'll hand that to you. 12 This -- that's a bill you got from 13 14 Mr. Levinger, correct? 15 (Exhibit Number 18 marked.) A. Yes, it is. 16 17 Q. Look in the entry, and tell me if it's not true 18 that Mr. Levinger's first task was to call 19 Mr. Pennington and talk to him, true? 20 A. That -- that's what this reflects, yes. Q. All right. And you knew he was doing that, 21 22 right? 23 Α. Yes. Q. And you wanted him to do that, didn't you?
A. Well, I certainly wanted my attorneys to have 24 25 00013:01 communication with each other, yes. Q. But you never told Lenny Vitullo or 02 John Malesovas that you had hired Mr. Pennington to look 03 into any conduct during that period of time, correct? 04 A. Correct. 05 06 $\ensuremath{\texttt{Q}}\xspace$. And you were recording your phone calls with 07 Mr. Vitullo during that period of time, correct? A. I believe so. 08 09 Q. How many recordings do you have, sir? 10 I believe there are six recordings. Α. 6. PAGE 37:01 TO 38:11 (RUNNING 00:01:30.224) 00037:01 Q. You started recording Taylor Horton before the 02 trial. When did you first record Lenny Vitullo? A. Day after the trial. 03 04 Q. Where were you when you made that recording? 05 A. As I said, it -- I was in his office. 06 Q. So you were in his office and who else was 07 present? 08 A. My sister. Q. You, Mr. Vitullo, and your sister present in 09 10 Mr. Vitullo's office the day after the jury verdict? 11 A. That's correct. Q. And you were surreptitiously recording that 12 13 conversation? A. That's correct.Q. Did you record the whole thing? 14 15 A. Yes, I did. 16 17 Q. Did you ever tell Mr. Vitullo you were 18 recording him? 19 A. No, I did not.Q. Why were you recording him the day after you 20 21 received what you've characterized as a very favorable 22 jury verdict?

23 A. Because I knew that Mr. Vitullo would be 24 putting his interest over mine and pushing for a quick 25 settlement. And I knew that -- or I didn't feel that 00038:01 that would be in my best interest. Q. So why were you recording him?A. Again, because at that point, I did not trust 02 03 that Mr. Vitullo would be giving us proper legal advice 04 that was in my best interest, and I wanted to document 05 06 that fact. 07 Q. So your testimony you did -- is you didn't trust your own lawyer, who had just secured a -- what 08 was it -- \$4 billion jury verdict for you? 09 A. I don't agree that it was Mr. Vitullo that 10 secured that jury verdict. 11 7. PAGE 21:09 TO 22:14 (RUNNING 00:01:24.085) 09 Q. You considered both the defense of the JPMorgan 10 claims and the assertion of affirmative -- affirmative claims against JPMorgan to be one in the same, in 11 12 essence? 13 A. Again, I hadn't thought, at that time, of any 14 differentiation between the two. 15 Q. That's what I mean. You considered them to be 16 one and the same. Whether JPMorgan was suing you or you 17 were suing them, you considered that to be one claim 18 that Mr. Vitullo and Malesovas Law Firm were to handle under the terms of your contract. 19 20 Is that true? A. As best as I can recall. 21 Q. Your contract is identical to Exhibit Number 2, 22 23 except for the interlineations. Is that true? A. That's true. 24 25 Q. And is it your position that you do not owe the 00022:01 fee that's called for in Exhibit Number 2? A. It's my position that I owe a reasonable fee 02 03 for the work that was done on this case. 04 Q. That's not my question, though. My question Is it your position you do not owe the fee that's 05 is: called for under the terms of the contract marked as 06 07 Exhibit 2? A. I -- I cannot answer your question.
Q. You don't have a position on that?
A. I think that's a position that would be defined 08 09 10 by an arbitrator. 11 12 Q. You don't have a position on that. Is that true? 13 14 A. Not at this moment, no. 8. PAGE 23:20 TO 23:25 (RUNNING 00:00:10.395) Q. You, Dr. Hopper, have no position whether the 20 fee called for under Exhibit Number 2 is reasonable or 21 unreasonable --22 A. At this --23 24 -- true? Q. A. True. I believe at this point, that is why we 25 9. PAGE 24:04 TO 24:13 (RUNNING 00:00:27.519) 04Q. (BY MR. MALESOVAS) Why didn't you tell 05 Mr. Vitullo that you had hired somebody way back in 06 October of 2017? 07 A. Because I no longer trusted Mr. Vitullo. Q. So beginning in October of 2017, you no longer 08 09 trusted Mr. Vitullo, correct? A. That's correct. 10

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12 case against JPMorgan? A. Yes. I was happy with the jury verdict. 13 10. PAGE 25:14 TO 25:20 (RUNNING 00:00:20.326) 14 Q. (BY MR. MALESOVAS) Were you happy with the 15 settlement your appellate attorney, Mr. Levinger, 16 negotiated with JPMorgan Chase? A. I was happy given what we had to work with.Q. You authorized Mr. Levinger to enter into that 17 18 19 settlement, didn't you? 20 A. Yes, I did. 11. PAGE 26:12 TO 26:18 (RUNNING 00:00:13.893) Q. Do you agree there's a dispute as to how much 13 of the settlement money should be paid in attorney's 14 fees? A. Yes. 15 Q. Can you tell us right now what the amount is 16 17 that's in dispute? 18 A. Of course not. 12. PAGE 30:11 TO 30:13 (RUNNING 00:00:06.166) Q. (BY MR. MALESOVAS) Okay. Well, you agree there's some amount of attorney's fees that aren't in 11 12 13 dispute, correct? 13. PAGE 30:15 TO 31:03 (RUNNING 00:00:27.244) 15 A. Some amount? 16 Q. (BY MR. MALESOVAS) Yes. A. Yes, some amount.Q. All right. What's that amount that you're 17 18 19 willing to let the court distribute to Mr. Vitullo and 20 me? 21 A. I think that's up to the Court or an 22 arbitrator. Q. No. I'm asking you right now. 23 A. I don't know. 24 Q. So you would be happy with the full fee, if 25 00031:01 that's what the Court found appropriate, being 02 distributed right now to me and Mr. Vitullo, pending the 03 out come of the arbitration --14. PAGE 31:05 TO 31:06 (RUNNING 00:00:02.805) Q. (BY MR. MALESOVAS) -- or trial, whatever the 0.5 06 Court may decide? 15. PAGE 31:08 TO 32:01 (RUNNING 00:00:54.355) 08 A. Absolutely not. Q. (BY MR. MALESOVAS) Why not? A. Well, because the full fee is not yours. I 09 10 mean, the full amount is not yours. 11 Q. How much is? 12 A. Well, according to the current contract that I have with you, it would be 45 percent that would be, you 13 14 15 know, Mr. Vitullo's and yours. And the rest my sister 16 and I. Q. 45 percent of what? A. 45 percent of whatever we obtain in the 17 18 settlement with JPMorgan Chase. 19 20 Q. 45 percent of the cash that they're going to 21 pay you? A. Well, yes, I'd assume so. I mean -- Q. What --22

23

24

A. -- there's also expenses. I understand that.

25 What about --Q. 00032:01 That are in dispute. Α. 16. PAGE 43:21 TO 44:17 (RUNNING 00:00:44.345) Q. (BY MR. MALESOVAS) Can you answer my question. 21 Do you have any complaint with something I did or didn't 22 23 do, 24 Α. Not that I know of. Q. Thank you, sir. The sole reason you're saying 25 00044:01 you don't want to pay me under the fee agreement is because of your complaints that you've stated directed 02 03 towards Mr. Vitullo. Is that fair? 04 05 A. Sir, it's not fair. I've never said I didn't want to pay you. 06 07 Q. The whole reason you don't want to pay the full $% \mathcal{Q}$ fee due under the contract to me is because of what your 08 09 complaints are directed toward Mr. Vitullo. 10 Is that accurate or not? A. No, that's not accurate.
Q. What's not accurate --11 12 A. I --13 Q. -- about it? A. I'm willing to pay you a reasonable fee, and 14 15 16 I'm hoping that an arbitrator will help me know what 17 that is. 17. PAGE 55:03 TO 55:25 (RUNNING 00:00:48.116) 03 Q. All right. And at the time that you terminated 04 Mr. Vitullo, all of the work that needed to be done to 05 obtain a Rule 11 settlement agreement to fully resolve 06 all claims had been completed, correct? 07 A. Correct. 08 Q. You accepted all of the legal services that 09 Mr. Vitullo performed from the time he entered into an appearance until April 5th, when you terminated, 10 11 correct? 12 A. That's correct. 13 Q. All right. And he provided you a valuable legal service from the time he appeared in the case 14 until the time you terminated him on April 5th, correct? 1.5 16 A. He provided some valuable services, yes. Q. All right. So the answer to my question is, 17 18 He provided valuable legal services, correct? Yes. A. Provided some valuable legal services, yes. 19 20 Q. And you accepted the benefits of those 21 services, correct? A. That's correct.Q. And your sister accepted the benefits of those 22 23 24 services, correct? 25 That is correct. Α. 18. PAGE 58:19 TO 60:12 (RUNNING 00:01:28.309) 19 Q. All right. And JPMorgan Chase is the 20 independent administrator. One of the points of 21 leverage during the case the threat that they could try 22 and claw back these distributions that had been paid to 23 you previously, correct? A. That's correct at some point. 24 25 Q. And you were telling Mr. Vitullo, Hey, look, we 00059:01 want to win this. But at the very least, don't let them 02 take away the money we've already gotten, right? A. I believe that was communicated to Mr. Vitullo. Q. Sure. And that was a big thing that you were 03 04

05 telling Mr. Vitullo, was, Look, yeah. We want to win 06 this case. But by golly, don't let us -- don't let them sue us for what we've already gotten. 07 08 You were telling him not to let them do 09 that, right? 10 A. Well, I -- I don't think we were telling him to not let them sue us. But certainly, we were not wanting 11 that to happen --12 13 Q. You --A. -- yes.
Q. You didn't want to give the money back that you -- yes. 14 15 16 had gotten from your dad's estate, true? A. That's true. Q. And JPMorgan Chase knew that they could try to 17 18 19 get that money back, correct? 20 A. Certainly they threatened that, yes. 21 Q. And one of the -- part of -- part of Lenny's 2.2 job, according to your own sister, was to make sure that 23 he protected the assets that you had already gotten, 24 correct? A. Well, that's my understanding of what the 25 00060:01 contract entailed when we hired Mr. Vitullo, yes. Q. So the answer to my question is, Yes. That was 02 03 part of his job, correct? 04 A. As I understood it, yes. Q. And none of that money was taken back by 05 06 JPMorgan Chase, was it? 07 A. No, it wasn't. 08 Q. Okay. Did you say no? A. No. 09 10 Q. Right. You got to keep that \$5 million between you and your sister, correct? 11 A. That's correct. 12

19. PAGE 70:10 TO 70:25 (RUNNING 00:00:31.350)

10 Q. Let's -- let's talk about what you've got. You 11 got to keep the 5 million with your sister, right? A. Yes, that's correct. 12 Q. You won on your counterclaim that 13 14 JPMorgan Chase was suing you for in excess of 3 million, 15 correct? A. Well, it was dismissed. I mean, they dropped 16 17 it, yes. Q. Well, if it's dismissed and you pay zero, 18 that's a pretty good result, right, when you're being 19 20 sued? 21 A. Yes. O. All right. You got a \$990,000 legal 22 23 malpractice settlement when you fired your third set of lawyers that he got for you, correct? 24 A. That's correct. 25

20. PAGE 71:22 TO 71:25 (RUNNING 00:00:06.056)

22 Q. You had a zero offer before trial, correct?

- 23 A. From -- who are we talking about?
- 24 Q. JPMorgan Chase.
- 25 A. That's true.

21. PAGE 80:07 TO 80:16 (RUNNING 00:00:18.789)

07 Q. (BY MR. LAUTEN) Can you even sit here under 08 oath today and say how much JPMorgan Chase was suing you 09 for? 10 A. Well, that -- that number was changing. 11 Because it -- it wasn't even finalized while we were in 12 court.

13 Q. Well, do you know how much you were being sued 14 for? 15 A. Again, that number kept changing. 16 Q. How much were you being sued for, Dr. Hopper? 22. PAGE 80:18 TO 80:22 (RUNNING 00:00:24.312) 18 Q. (BY MR. LAUTEN) What's the range from low to 19 high? What was your exposure, according to what was 20 pled in discovery from JPMorgan Chase? A. It was probably between -- it's hard to 21 remember. 3.5 tops, to maybe 900 low. 22 23. PAGE 82:25 TO 83:03 (RUNNING 00:00:09.588) 25 Q. (BY MR. LAUTEN) You got -- do -- you can 00083:01 answer this, though, right? 02 You got a valuable legal service from 03 Mr. Vitullo, correct? 24. PAGE 83:05 TO 84:01 (RUNNING 00:00:45.250) A. There were times when I got a valuable service 0.5 06 from Mr. Vitullo. Q. (BY MR. LAUTEN) You accepted the benefits of 07 08 Mr. Vitullo's work, correct? A. That's correct. 09 Q. And you waited until after you settled the case 10 11 to terminate him, correct? A. No.Q. Okay. Was the termination letter dated 12 13 14 April 5th? 15 A. Yes. Q. And that was after a Rule 11 had been executed 16 17 to settle the case, correct? A. Yes, that's correct. 18 19 Q. All right. So you terminated him after you settled the case, right? 20 21 A. After we got the Rule 11 agreement, yes. Q. All right. Just a coincidence, right? You 22 23 don't need him anymore. A. Well, that's true. We didn't need him anymore. 24 25 We were afraid that he would harm us if we terminated 00084:01 earlier. 25. PAGE 95:13 TO 96:03 (RUNNING 00:00:43.148) Q. Sir, you reached a settlement before you 13 14 terminated Mr. Vitullo, right? 15 A. Yes. 16 Q. There's nothing left to do except get the money 17 and sign the agreement, right? 18 A. Well, yes. Q. And Mr. Vitullo isn't responsible for either of 19 those things, right? 20 A. Either of which things? 21 Q. Getting the settlement agreement signed or 22 23 getting you your money. A. Well, he -- $\bar{\rm h}e$ certainly has an influence, 24 because JPMorgan put that as part of the settlement. 25 00096:01 Q. I'm talking about performed his obligations to 02 you, the client. At the time that he was terminated, he 03 had fully performed -- you already had a deal, right?

26. PAGE 96:05 TO 96:05 (RUNNING 00:00:00.377)

05 A. Yes.

27. PAGE 97:04 TO 97:05 (RUNNING 00:00:05.370)

04 Q. Have you signed another agreement with 05 JPMorgan, other than the Rule 11 agreement?

28. PAGE 97:08 TO 97:08 (RUNNING 00:00:00.499)

08 A. Yes.

29. PAGE 98:06 TO 99:04 (RUNNING 00:00:45.930)

06 Q. (BY MR. MALESOVAS) And whatever settlement 07 agreement -- formal settlement agreement you executed 08 with JPMorgan, have they paid you the money? 09 A. No. 10 Q. Because the agreement you executed with 11 JPMorgan requires Mr. Vitullo and I to release our lien 12 before they will pay you. 13 Is that true? A. That's true.Q. And that's something Mr. Levinger negotiated 14 15 16 and put into the agreement on your behalf? 17 A. No. Q. Something you negotiated and put into the 18 19 agreement? 20 A. No. 21 Q. Something you agreed to? A. Yes.Q. And Ms. Wassmer agreed to it? 22 23 24 A. Yes. 25 Q. And both of you signed the agreement? 00099:01 A. Yes. Q. 02 And JPMorgan signed the agreement? 03 A. Yes. 04 Q. When?

30. PAGE 99:06 TO 99:15 (RUNNING 00:00:19.180)

06 A. I'm -- I can't give you the exact date. 07 Sometime last week. 08 Q. (BY MR. MALESOVAS) After the temporary 09 restraining order was issued, right? 10 A. Yes. Q. You were trying to get the money out before 11 12 then, weren't you? A. No. 13 14 Q. When were you first presented with a written agreement with JPMorgan to finalize the settlement? 15

31. PAGE 99:18 TO 99:24 (RUNNING 00:00:14.429)

Q. (BY MR. MALESOVAS) The written settlement
agreement that you just identified, when was one ever
first presented to you to sign?
A. Same day I signed it. So it would be last
week.
Q. After the temporary restraining order?
A. Yes.

TOTAL: 1 CLIP FROM 1 DEPOSITION (RUNNING 00:17:25.199)