

ORIGINAL

CAUSE NO. PR-10-1517-3

2012 OCT 24 PM 3:07

IN RE: ESTATE OF

MAX D. HOPPER,

DECEASED

§ IN THE PROBATE COURT  
§  
§ NO. 3  
§  
§ DALLAS COUNTY, TEXAS

*E. Hopper*  
CLERK  
DALLAS COUNTY

**JO N. HOPPER'S OBJECTIONS  
TO JPMORGAN CHASE BANK, N.A.'S  
SCHEDULES FOR THE FINAL ACCOUNT  
JANUARY 25, 2010 TO MAY 31, 2012**

TO THE HONORABLE JUDGE OF SAID COURT:

COMES NOW, JO N. HOPPER (also referenced as "Mrs. Hopper", or "Plaintiff", or "surviving spouse" herein) and files this her Objections to JPMorgan Chase Bank, N.A. Schedules For the Final Account January 25, 2010 to May 31, 2012 (the "Schedules" herein). JPMorgan Chase Bank, N.A. is the Successor by conversion to JPMorgan Chase Bank and Successor by merger to The Chase Manhattan Bank and has been appointed the Independent Administrator of The Max D. Hopper Estate (the "IA"). Max D. Hopper died January 25, 2010. Plaintiff Jo N. Hopper would respectfully show the Court the following:

On May 2, 2012, Plaintiff, pursuant to §149A of the Texas Probate Code ("Code" or "TPC"), properly demanded an accounting from JPMorgan Chase Bank, N.A., as Independent Administrator ("IA" herein). In response, the IA delivered (late, which late delivery the IA requested the Court to approve retroactively) a document entitled:

Schedules

For The

Final Account\*

January 10, 2010 to May 31, 2012

(with the notation "Inventory Values are as of the Date of Death, and this value may not correspond with tax basis.") – hereafter referenced as the "Schedules". These "Schedules" are not worthy of that name, and such Schedules (or "Accounting") is wholly inadequate, incomplete and virtually



incomprehensible as it relates to the property of the surviving spouse. For simplicity, and since Plaintiff does not believe that the document delivered by IA satisfies the requirements of §149A TPC [specifically but without limitation, the information required by 1-7 of §149A(a)], Plaintiff will refer to that document late delivered by IA as the "Schedules."

The IA, in this matter, was charged with the administration of the Decedent's property (the "Estate") and certain of the surviving spouse's property (Mrs. Hopper's property) which was under administration pursuant to §177 TPC ("§177 Property"). In simplest terms, the Schedules are confusing, wholly inadequate and fail to meet the requirements of §149A, as well as incomplete, and a poor excuse for the kind of diligence and precision that one would/should expect from an IA charged with the care of an Estate, which when considered with the property of the surviving spouse, is collectively valued at over thirty million dollars – much less from a National Bank with the international reputation and prestige, and the alleged expertise in estate matters that JPMorgan Chase, NA claims to possess. A cursory examination by the Court or the Court's Auditor would quickly show that the document delivered to Mrs. Hopper (the Schedules) is not in the proper format required by the Probate Court for accountings, as well as many other errors as detailed below.

While the Plaintiff has sought, and worked diligently and at great expense, to make the voluminous objections herein to the numerous errors that she has discovered, the Schedules are so inadequate and confusing that to make comprehensive and complete objections to each and every item would require Mrs. Hopper (who is not the IA) effectively to reconstruct independently a proper accounting on her own, then compare it to what the IA DID NOT DO/FAILED TO DO, under the TPC. The IA is under both a statutory duty and a fiduciary duty to properly account for its fiduciary service to the Plaintiff, and the burden is upon the IA to do so. The IA has not met that burden in any way, shape or form.

In spite of the above, Plaintiff, through her counsel, has herein undertaken to make both general and specific objections to the Schedules, first noting that what was delivered to Plaintiff was not even labeled "Final Accounting." Instead, it is labeled "Schedules For The Final Account" – as if there is some other later document anticipated -- (the *real* "Final Account") that has yet to be delivered.

Accordingly, these objections relate to the Schedules prepared by IA. A full copy of what was delivered to Plaintiff and labeled "Schedules For the Final Account" is attached hereto as Exhibit "A". Further, since it would be outside of Mrs. Hopper's knowledge, Mrs. Hopper makes no final nor comprehensive assertions regarding the accuracy or inaccuracy of the Schedules with respect to distributions to heirs Stephen Hopper and Laura Wassmer.

### OBJECTIONS

1. Plaintiff globally objects to the Schedules in that the format used by the IA for the Schedules is confusing and unintelligible and not in the format generally used for presentation of an accounting to the Court in that it does not allow for a "full and definite understanding of the exact condition of the estate" as required by §149A(a)6 of the Texas Probate Code.
2. Plaintiff globally objects to the Schedules wherever the reference to "Shs." is used within the Schedules as same is unclear, misleading, and unintelligible. Sometimes ".5 Shs" is used to (apparently) reference a ½ interest in an asset or collection, other times "Shs" indicates the number of shares of a stock. As used so casually and in wholly different contexts, the term "Shs" is unintelligible and objectionable.
3. Plaintiff globally objects to the Schedules because as presented they are incomplete when it comes to the assets held for administration on behalf of the surviving spouse ("§177 Property" herein). There is no clear statement of assets taken into administration pursuant to §177 of the Texas Probate Code, no clear statement of assets released to the surviving spouse, no clear statement as to the debts paid from §177 Property, and lastly, no clear statement as to §177 Property still on hand.
4. Plaintiff globally and specifically objects to the Schedules in that there is no Reconciliation Statement for the §177 Property which would allow for a full and definite understanding of the exact condition of the assets held for administration on behalf of the surviving spouse as required by §149A of the Texas Probate Code.
5. Plaintiff specifically objects to the Schedules in that Schedule A Principal Received is unclear, unorganized, and incomprehensible. There are many line items which are listed as

"negative numbers". Plaintiff objects that there cannot be a "receipt" of a negative asset. If these negative number entries are §177 Property released/delivered to surviving spouse, they should not be included on Schedule A Principal Received, but rather on a separate schedule of §177 Property released/delivered to surviving spouse as is elsewhere done for assets distributed to Stephen Hopper and Laura Wassmer.

6. Plaintiff specifically objects to the Schedules in that Schedule A Principal Received makes several references to Distributions of Cash with no reference to determine what "cash" was distributed, (i.e., where did the cash come from--sale of assets, in a savings account, from the heavens above? -- no one can discern any proper answer to these questions from the Schedules).
7. Plaintiff specifically objects to the Schedules in that Schedule A thereto makes several references to "Interest for Jo's Share" [i.e., Mrs. Hopper's] without further explanation to allow Plaintiff to verify the information.
8. Plaintiff specifically objects to the Schedules in that on: "Schedule C Funeral & Administration Expenses", all entries which state "For Services Rendered" are vague and ambiguous and do not allow for an understanding of the reason for the expense to determine if it is legitimate and properly chargeable against the Estate, as required under §149A of the Texas Probate Code.
9. Plaintiff specifically objects to the Schedules in that on "Schedule C Funeral & Administration Expenses" on Page 14 thereof the entry "Partial Estate Fee" is vague and ambiguous.
10. Plaintiff specifically objects to the Schedules in that on Schedule C-1 Unpaid Administration Expenses, the IA indicates that a portion of the expenses may be allocated to Plaintiff. IA may not charge any of the administration expenses to Plaintiff as the engagement contract signed by JPMC, NA, Plaintiff and the beneficiaries of the Estate specifically states that administration expenses will be borne by the Estate -- not Mrs. Hopper or her §177 Property. See Exhibit "B" attached hereto. Plaintiff specifically objects to the deliberate vagueness and indication on Schedule C-1 that the Hunton and Williams legal fees are zero when it is clear



that these expenses will amount to hundreds of thousands of dollars if not more.

11. Plaintiff specifically objects to the Schedules in that on Schedule D Creditors' Claims IA did not list any of the moneys due and owing Plaintiff, both for reimbursement and for storage of personal property belonging to the Estate.
12. Obvious discrepancies in Schedule A of the Schedules will be addressed by line item and each one objected to herein. Plaintiff makes no representation, nor can she make, any definitive representation at this time, as to the correctness of the entries not specifically mentioned as the nature of the Schedules makes it very difficult to ascertain the exact condition of the Estate. Plaintiff's specific objections below are, at least (from what she can even presently discern), as follows:
  - a. Schedule A, Page 2 reference to 0.5 Shs Flying Needles with value of \$15,943.50. This value includes some property which is Plaintiff's separate property and should not have been included on the Inventory or in the Schedules, at all.
  - b. Schedule A, Pages 2 and 5 reference 6,784 Shs Golf Club Collection with a value of \$153,824.50. The number 6,784 is a reference to the total number of golf clubs and does not take into account the golf memorabilia. The value listed is one-half of the entire collection of golf clubs and memorabilia. Listing that the IA took possession of 6,784 golf clubs on page 2 and then took possession of 6,784 golf clubs as part of the §177 Property on page 5 is incorrect. Again, the reference to "Shs" makes no logical sense, is inaccurate and unintelligible.
  - c. Schedule A, Page 2 reference to 2 Shs. Hopper Family Quilts with a value of \$235.00. The reference to "Shs" is unintelligible and an improper description for this asset.
  - d. Schedule A, Pages 2, 4, and 5 reference to 1 Shs Max Hopper & Associates with value of \$3,177.51, \$2,935.50 and -\$2,935.50 respectively. \$3,177.51 is one-half the total value, not the full value so the reference to "1 Shs" makes no logical sense, is inaccurate and unintelligible. Further the IA never took possession of the bank

account; rather Plaintiff wrote a check to the IA on February 1, 2011 for \$242.00 which is one-half of the value of the cash in the Max Hopper & Associates bank account on the date Plaintiff closed the account. \$2,935.50 is one-half the value of the furniture and furnishings, so again reference to "1 Shs" makes no logical sense, is inaccurate and unintelligible.

- e. Schedule A, Page 4 reference to North Dallas Bank Checking Account #xxxx1294 with a value of \$5,236.91. IA never took possession of this account. Rather, Plaintiff maintained said account and wrote a check to the Estate, which was accepted, for \$5,236.91.
- f. Schedule A, Page 4 reference to "1 Shs" Max Hopper & Associates (Furniture & Equipment) with a value of \$2,935.50. This value is only one-half the total value of the Furniture & Equipment and does not include the interest in the Max Hopper & Associates bank account which was included in the previous reference to Max Hopper & Associates on Page 2 (see item 10.d. above). The reference to 1 Shs is unintelligible and inaccurate.
- g. Schedule A, Pages 4 and 7 references to Credit Suisse Brokerage Account should clarify, at least, that this is only the cash held in the Credit Suisse Brokerage Account. As such, it is presently incorrect.
- h. Schedule A, Pages 3, 4, 5, and 8 references to Tibersoft Corporation are unclear. Page 5 shows a distribution to Plaintiff which was transferred to her JPMorgan brokerage account, however when Plaintiff's brokerage account at JPMorgan, to which the shares were transferred was closed, the shares were not reported. Plaintiff does not have any understanding as to who holds the Tibersoft stock certificates. On page 6 there is a reference that Plaintiff's .5 share was distributed to Stephen Hopper and Laura Wassmer but there is no indication that Plaintiff ever received payment for that .5 share.
- i. Schedule A, Page 5 reference to 60,250 shares of eCivis, Inc with a value of - \$7230.00. This is presumably a release of property to Plaintiff which was

transferred to her JPMorgan brokerage account. However when Plaintiff's brokerage account at JPMorgan, to which the shares were transferred was closed the shares were not reported. Plaintiff does not have any understanding as to the location of those shares. The IA, under the Texas Probate, is obligated and required to account for those shares.

- j. Schedule A, Page 1 reference to 14.5 Shs Jamcracker, Inc with a value of \$3.33. There is no corresponding entry for 14.5 Shs Jamcracker in Plaintiff's share of Community Property Assets. Plaintiff delivered the stock certificate to IA on July, 2010.
- k. Schedule A, Page 3 reference to 383,338.5 Shs Instantis, Inc with a value of \$134,166.72. There is no corresponding entry for 383,338.5 Shs Instantis, Inc., in Plaintiff's share of Community Property Assets.
- l. Schedule A, Pages 3,4, and 5 reference to 16,665 Shs Critical Technologies, Inc - Series B - Restricted with a value of \$166.65. Plaintiff asserts that these were options and that the value listed is incorrect and the value should instead be the difference between the fair market value of the stock and the option price.
- m. Schedule A, Page 3 reference to 95,626 Shs of Jamcracker, Inc with a value of \$17,212.50. There is no corresponding entry for 95,626 Shs Jamcracker, Inc in Plaintiff's share of Community Property Assets.
- n. Schedule A, Pages 1, and 2 Limited Partnerships and all references to Limited Partnerships throughout should reflect percentage ownership – not "Shs".
- o. Schedule A, Page 5 reference to .125 Shs Gabriel Ventures Partners, LP with a value of \$1,656.00 and Page 6 with a value of -\$1,656.00 is not accurately reflected. IA never took possession of this asset and then released it to Plaintiff. Partnership titled Plaintiff's interest in her name without passing it through the administration. References to Brookside Capital Partners Fund, LP, and Absolute Return Capital Partners accurately reflect that the IA never took possession of Plaintiff's one-half

interest in these assets. The Schedules should be consistent as to how IA reports these transactions. As such the Schedules are both wrong and inconsistent.

- p. Schedule A, Pages 3, 6, and 8 reference the Wine Collection. These amounts are unclear and inaccurate, as some of this wine was and is the separate property of Plaintiff – see Plaintiff's *Original Complaint for Correction of Inventory, Appraisal and List of Claims By Jo N. Hopper* (the "Complaint/Objection") filed June 30, 2011.
- q. Schedule A, Pages 2, 5, and 8 all references to Flying Needles are confusing and unintelligible at best and completely incorrect at worst. The reference on page 2 is for one-half of the total value of the Flying Needles assets. The reference on page 8 is for one-half of the Flying Needles assets at the warehouse, which were already included in the value listed on page 2. Plaintiff purchased the Estate's one-half of the Flying Needles assets at the warehouse for \$2,406.00. The IA has plainly mistakenly "accounted for" part of these assets twice.
- r. Schedule A, Page 2 reference to 0.5 Shs Art with a value of \$54,225.00. This value is incorrect as it includes some of Plaintiff's separate property art. See Complaint/Objection filed herein on June 30, 2011.
- s. Schedule A, Pages 2, and 7 reference the 2003 BMW 750 Li. The Schedules show that the IA took possession of 0.5 Shs of that car. There is no corresponding entry showing that the IA took possession of Plaintiff's one-half interest in the car.
- t. Schedule A, Pages 2, and 8 reference the 1986 Porsche 944 Turbo. The Schedules shows that the IA took possession of 0.5 Shs of that car. There is no corresponding entry showing that the IA took possession of Plaintiff's one-half interest in the car.
- u. Schedule A, Pages 2, and 7 reference the 2005 Chevrolet Suburban. These entries are incorrect. Page 2 correctly references that the IA took possession of 0.5 Shs however Plaintiff retained her one-half interest and purchased the Estate's one-half interest for \$8,729.41 which was the value of the Estate's interest in the vehicle less

one-half of the value of the repairs Plaintiff paid for. Page 7 shows the IA taking in \$4,364.70 as proceeds from the sale on Plaintiff's behalf. None of the proceeds from the sale belong to Plaintiff.

v. Schedule A, Page 2 reference Director Fees Due From Various with a value of \$16,542.00. This amount is incorrect and wholly inaccurate as part of these fees were paid to Mr. Hopper prior to his death. Mr. Hopper's CPA made a spreadsheet of all Director's fees paid to use in preparation of the income tax return. IA plainly took the value from the spread sheet that was provided without checking its accuracy or conferring with either the Decedent's CPA (even after Plaintiff advised the IA by e-mail and phone of the issue) or Plaintiff, which fees were paid prior to Mr. Hopper's death. There is also no corresponding entry as to where Plaintiff's one-half interest in this asset is on the Schedules – if it appears at all.

w. Schedule A, Page 2 reference Director Fees Due From Provided Health Net Services. There is no corresponding entry as to where Plaintiff's one-half interest in this asset is on the Schedules – if it appears at all.

13. Plaintiff specifically objects and has no knowledge regarding what assets were sold by IA such that the IA could make properly any type of representations regarding the statements made on Schedule A-1, except only for the entry on page 12 regarding the Suburban which shows that the Estate's one-half interest in the Suburban was sold for \$4,364.71 – which “fact” is wholly incorrect. Plaintiff objects, she purchased the Estate's one-half interest in the Suburban for \$8,729.41. The IA didn't even get this “fact” correct – further proof of its incompetence.

14. On Schedule C Funeral & Administration Expenses, Plaintiff specifically objects to the entry on 07/22/11 Partial Estate Fee as being too general and overbroad to allow for a complete understanding of the nature of the expense and determine the validity of the expense. Plaintiff objects to all entries under Legal Services which state "For Services Rendered" as they are too general, overbroad and insufficient under the TPC to allow for a complete understanding of the nature of each expense in order for Plaintiff to be able to determine the

validity of the expense. Plaintiff objects to all entries under Sarah Jane Pate Williamson PC which state "For Services Rendered" as they are too general, overbroad and insufficient under the TPC to allow for a complete understanding of the nature of each expense, in order for Plaintiff to be able to determine the validity of the expense.

15. On Schedule E Distributions of Principal, Plaintiff objects as to the paucity of "information" provided. She cannot adequately examine same for error because with the exception of the Distribution/delivery of the proceeds from the coin collection which is correct, all of these distributions were for the benefit of the other beneficiaries of the Estate, and not Plaintiff, so she has no information regarding the accuracy of these entries.
16. Plaintiff objects to the entire Cash section of Schedule F Exchanges and Stock Distributions beginning on Page 34 and running through Page 37 as there is no notation as to from which asset the cash was derived. Additionally Plaintiff objects that the cash attributed to Plaintiff does not properly "net out" to the amount of cash which IA has on hand on behalf of Plaintiff.
17. Schedule G Principal Remaining on Hand has some inaccuracies which are carried forward from the other Schedules, all of/to which Plaintiff hereby objects, at least, as follows:
  - a. Schedule G Page 53 reference to Director Fees From Various is incorrect as some of those fees were paid to Mr. Hopper prior to his death.
  - b. Schedule G Page 53 reference to Art is incorrect as some of the Art is Plaintiff's separate property – see Complaint/Objection filed, as well as other correspondence to IA and Plaintiff's lawsuit in Cause No. PR-11-3238-3.
  - c. Schedule G, Page 53 reference to Flying Needles with a value of \$15,943.50 is incorrect as Plaintiff purchased some of those assets from the Estate – see infra.
  - d. Schedule G, Page 54 reference to 0.5 Shs of Wine Collection is incorrect because some of the Wine was Plaintiff's separate property as the IA has previously been advised, and the term "Shs" is unintelligible.
18. Plaintiff objects to Schedule A-2 Income Collected in its entirety because there are no


references regarding from which asset the income was derived or how the IA determined whether the income was attributable to Plaintiff or to the Estate.

WHEREFORE, PREMISES CONSIDERED, Plaintiff asks this Court determine and Order as follows:

1. Not to approve and to instead expressly Disapprove and Reject the "Schedules" in its entirety, as being neither a full nor adequate accounting under §149A of the Texas Probate Code – until further information has been provided and all the inaccuracies set forth herein have been removed or corrected;
2. Instruct the IA to provide Plaintiff with a new and complete Final Accounting which complies with §149A and the TPC, generally in a format which is standard for accountings presented to the Court, and incorporate all of the items which are mentioned above, and as may be hereafter further supplemented/augmented by Plaintiff, after such discovery as Plaintiff may assert is necessary or appropriate;
3. Instruct the IA to provide Plaintiff as an interested person, with a complete Final Accounting which includes separate schedules and a summary statement or reconciliation with regard to all transactions affecting the §177 Property;
4. Instruct the IA to reimburse Plaintiff for all expenses hereafter paid by Plaintiff on behalf of the Estate and further to pay Plaintiff all sums due her for storage of the wine, and household furniture and furnishings, and to reflect all such payments on the Final Accounting;
5. Grant Plaintiff her attorneys' fees and costs in connection herewith; and
6. For all other relief to which Plaintiff may be justly entitled.

Respectfully submitted,

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ATTORNEYS FOR JO N. HOPPER

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a true and correct copy of the foregoing document was served via hand-delivery to: counsel for the Independent Administrator and Bank, Thomas H. Cantrill and John Eichman, Hunton & Williams, 1445 Ross Avenue, Suite 3700, Dallas, Texas 75202, and, to Defendants and Heirs Stephen Hopper and Laura Wassmer, via their counsel of record, Mark Enoch, Gary Stolbach, and Melinda Sims, Glast, Phillips & Murray, P.C., 14801 Quorum Drive, Suite 500, Dallas, Texas 75254 on the 24 day of October, 2012.

  
James A. Jennings



JPMorgan Chase Bank, N.A.  
(Successor by conversion to JPMorgan Chase Bank)  
(Successor by merger to The Chase Manhattan Bank)

as

Independent Administrator

of

Max D. Hopper Estate  
(Who Died January 25, 2010)

Schedules

For The

Final Account\*

January 25, 2010 to May 31, 2012

\* Inventory Values are as of Date of Death, and this value may not correspond with tax basis.

SUMMARY STATEMENT

(Period covered: 01/25/10 through 05/31/12)

AS TO PRINCIPAL

CHARGES:

Amount shown by Schedule A	
(Principal Received).....	\$ 10,979,450.40
Amount shown by Schedule A-1	
(Realized increases on principal)...	1,762,855.77
Amount shown by Schedule G	
(Unrealized increases on principal).....	0.00
TOTAL PRINCIPAL CHARGES.....	<u>\$ 12,742,306.17</u>

CREDITS:

Amount shown by Schedule B	
(Realized decreases on principal)....	\$ 449,548.79
Amount shown by Schedule C	
(Funeral & Administration Expense)...	2,338,957.78
Amount shown by Schedule D	
(Creditors' Claims).....	35,299.36
Amount shown by Schedule E	
(Distributions of principal).....	6,461,269.49
Amount shown by Schedule G	
(Unrealized decreases on principal).....	<u>24,853.00</u>
TOTAL PRINCIPAL CREDITS.....	\$ 9,309,928.42
Principal Remaining on hand shown by	
Schedule G.....	<u>3,432,377.75</u>
	<u>\$ 12,742,306.17</u>

SUMMARY STATEMENT (cont'd)

(Period covered: 01/25/10 through 05/31/12)

AS TO INCOME

CHARGES:

Amount shown by Schedule A-2	
(Income Collected).....	\$ 9,862.30
Amount shown by Schedule A-3	
(Realized increases on income).....	0.00
Amount shown by Schedule G-1	
(Unrealized increases on income).....	0.00
TOTAL INCOME CHARGES.....	\$ 9,862.30

CREDITS:

Amount shown by Schedule B-1	
(Realized decreases on income).....	\$ 0.00
Amount shown by Schedule C-2	
(Administration expenses chargeable	
to income).....	0.00
Amount shown by Schedule E-1	
(Distributions of income).....	0.00
Amount shown by Schedule G-1	
(Unrealized decreases on income).....	0.00
TOTAL INCOME CREDITS.....	\$ 0.00
Balance of undistributed income remaining on hand	
as shown by Schedule G-1.....	9,862.30
	\$ 9,862.30

SUMMARY STATEMENT (cont'd)

(Period covered: 01/25/10 through 05/31/12)

COMBINED ACCOUNTS

Principal remaining on hand.....	\$ 3,432,377.75
Income remaining on hand.....	<u>9,862.30</u>
Total on hand.....	<u>\$ 3,442,240.05</u>

SCHEDULE A  
PRINCIPAL RECEIVED

Real Estate

01/25/10 100 Units Eastside of Hwy 40 @ Nob Then N58,e27 To Nob, To N4e 290;12 In Bs79w To Bs58e 6 Varas Thence W225 To Hwy 40 To Nob Then S31e Blk 4, Pollok	\$ 81,000.00	
01/25/10 50 Units The Estates Blk 15/6378 Lt 18 Vol97041/1724 Dd022497 Co-Dallas 6378 015 01800 1006378 015	<u>967,500.00</u>	\$ 1,048,500.00

Common Stocks

01/25/10 2,500 Shs. Avistar Communications Corp	\$ 950.00	
01/25/10 18,535.5 Shs. Gartner Inc Class A	394,064.73	
01/25/10 14.5 Shs. Jamcracker, Inc	3.33	
08/19/10 220 Shs. Municipal Mortgage & Equity LLC Growth Shares	88.50	
01/25/10 57,500 Shs. PGP	215,050.00	
01/25/10 17,180.5 Shs. Provider Health Net Services	75,979.23	
01/25/10 62,500 Shs. Provider Healthnet Services Inc - Class B	276,400.69	
01/25/10 1,024 Shs. Quest Software Inc	-17,838.08	
01/25/10 200,000 Shs. Realpage Inc	<u>566,000.00</u>	1,546,374.56

Limited Partnerships

01/25/10 0.0524 Shs. Absolute Return Capital Partners	\$ 312,967.00	
01/25/10 0.0144 Shs. Bain Capital Fund VI, LP	11,760.00	
01/25/10 2 Shs. Bain Fund VII Co-Investors, LLC	56,756.00	
01/25/10 1.16 Shs. Bain Fund VII Private Investors, LLC	105,034.00	
01/25/10 0.7157 Shs. Behrman Capital, LP	17,328.50	
01/25/10 0.0528 Shs. Brookside Capital Partners Fund, LP	5,044,345.00	
01/25/10 0.125 Shs. Gabriel Venture Partners, LP	1,656.00	
01/25/10 0.0118 Shs. General Atlantic Partners	281.50	
01/25/10 0.22 Shs. Insight Capital Partners Co Investors III	18,254.00	
01/25/10 0.155 Shs. Insight Capital Partners IV	604,283.00	

FORWARD

\$ 6,172,665.00 \$ 2,594,874.56

Schedule A  
Page 1

A/C #P19276008  
Estate Of Max D. Hopper

SCHEDULE A (cont'd)  
PRINCIPAL RECEIVED (cont'd)

FORWARD

\$ 6,172,665.00 \$ 2,594,874.56

Limited Partnerships (cont'd)

01/25/10	1.151 Shs. Sevin Rosen V Affilates Fund LP	2,720.50	
01/25/10	0.227 Shs. Sevin Rosen VI Affilates Fund LP	4,242.00	
01/25/10	0.2335 Shs. Sevin Rosen VII Affilates Fund LP	5,253.50	
01/25/10	0.0286 Shs. Sevin Rosen VIII LP	38,312.00	6,223,193.00

Other

01/25/10	Director Fees Due From Provider Health Net Services	\$ 7,000.00	
01/25/10	Director Fees Due From Various	16,542.00	23,542.00

Other

01/25/10	0.5 Shs. 1986 Porsche 944 Turbo	\$ 5,312.50	
01/25/10	0.5 Shs. 2003 BMW 750 Li	10,600.00	
01/25/10	0.5 Shs. 2005 Chevrolet Suburban	9,650.00	
01/21/11	0.5 Shs. American Airlines Miles	25,957.10	
01/25/10	0.5 Shs. Art	54,225.00	
01/25/10	1 Shs. Art (separate property)	1,750.00	
01/25/10	1 Shs. Baker's Rack	220.00	
01/25/10	1 Shs. Coin Collection	34,385.00	
01/25/10	1 Shs. Crystal Stems & A Group of Crystal Stems	504.00	
01/25/10	0.5 Shs. Flying Needles	15,943.50	
01/25/10	0.5 Shs. Furniture & Furnishings located 9 Robledo, Dallas Texas	42,224.50	
01/25/10	6,784 Shs. Golf Club Collection	153,824.50	
01/25/12	2 Shs. Hopper Family Quilts	235.00	
01/25/10	0.5 Shs. Jewelry	1,945.00	
01/25/10	1 Shs. Jewelry (including two cuff links)	525.00	
01/25/10	1 Shs. Max Hopper & Associates	3,177.51	
01/25/12	1 Shs. Olive Green Canvas Duffel Bag & Personal Military Uniforms	40.00	

FORWARD

\$ 360,518.61 \$ 8,841,609.56

Schedule A-  
Page 2

A/C #P19276008  
Estate Of Max D. Hopper

SCHEDULE A (cont'd)  
PRINCIPAL RECEIVED (cont'd)

FORWARD

\$ 360,518.61 \$ 8,841,609.56

Other (cont'd)

01/25/10 1 Shs. Small Desk & Bench	60.00	
01/25/10 1 Shs. Wine (1964 Chateau Latour & 1986 Chateau Margaux)	1,230.00	
01/25/10 0.5 Shs. Wine Collection	<u>76,464.50</u>	438,273.11

Preferred Stock

01/25/10 66,565.5 Shs. Critical Technologies, Inc - Series A 2005 - Restricted	\$ 6,656.55	
01/25/10 16,665 Shs. Critical Technologies, Inc - Series B - Restricted	166.65	
01/25/10 60,250 Shs. eCivis, Inc - Restricted	7,230.00	
01/25/10 103 Shs. Perficient Inc	966.14	
01/25/10 2,500 Shs. Pointserve Inc - Restricted	.50	
01/25/10 7,142.5 Shs. Provider Healthnet Services Inc - Class B - Restricted	31,587.07	
01/25/10 40,000 Shs. Sierra Atlantic, Inc - Restricted	80,800.00	
01/25/10 50,000 Shs. Sierra Atlantic, Inc - Series A - Restricted	101,000.00	
01/25/10 713.5 Shs. Tibersoft Corporation - Restricted	<u>285.40</u>	228,692.31

Options

01/25/10 3,000 Shs. 3 Par Inc	\$ 98,940.00	
01/25/10 694.5 Units First Russian Emerging Market Fund	29,773.93	
01/25/10 10,500 Rts. Gartner Inc	122,815.00	
01/25/10 85,416.5 Shs. GT Nexus, Inc	76,020.68	
01/25/10 383,338.5 Shs. Instantis, Inc	134,166.72	
01/25/10 95,625 Shs. Jamcracker, Inc	17,212.50	
01/25/10 27,500 Shs. Perficient Inc	89,950.00	
01/25/10 25,000 Shs. PGP	4,750.00	
01/25/10 25,000 Shs. Realpage Inc	<u>.50</u>	573,629.33

Cash

FORWARD

\$ 10,082,204.31

SCHEDULE A (cont'd)  
PRINCIPAL RECEIVED (cont'd)

FORWARD

\$ 10,082,204.31

Cash (cont'd)

01/25/10 Received From Richardson Medial Center	\$	61.15	
01/25/10 Received Refund From Internal Revenue Service		25,458.00	
01/25/10 Unclaimed Property At California		1,140.00	
01/25/10 Cash Held By Gartner Inc Due Decedent		2,083.50	
01/25/10 Received Accrued Interest on Refund From Internal Revenue Service		807.63	
01/25/10 Cash On Hand (Included Perficient Dividend of \$3,750.00 & Jack McDonald's Check of \$100)		1,925.00	
01/25/10 North Dallas Bank Checking Account #xxxx1294		5,236.91	
12/28/10 Jeffries Brokerage Account xxxxl719		19,941.25	
02/09/11 Credit Suisse Brokerage xxx8189		<u>8,803.59</u>	65,457.03

SUBSEQUENT RECEIPTS

01/25/10 <u>Jo Hopper's Share of Community Property (Assets)</u>			
01/25/10 1 Shs. Max Hopper & Associates (Furniture & Equipment)	\$	2,935.50	
01/25/10 2,500 Shs. Pointserve, Inc		0.50	
01/25/10 713 Shs. Tibersoft Corporation - Restricted		285.40	
01/25/10 66,565.5 Shs. Critical Technologies, Inc - Series A 2005 - Restricted		6,656.55	
01/25/10 60,250 Shs. eCivis, Inc - Restricted		7,230.00	
01/25/10 2,500 Shs. Avistar Communications Corp		950.00	
01/25/10 50,000 Shs. Sierra Atlantic, Inc - Series A - Restricted		101,000.00	
01/25/10 40,000 Shs. Sierra Atlantic, Inc - Restricted		80,800.00	
01/25/10 16,665 Shs. Critical Technologies, Inc - Series B - Restricted		166.65	
01/25/10 Additional 5,661 Shs. Critical Technologies, Inc - Series B - Restricted Above Inventory Shares		0.00	
FORWARD	\$	<u>200,024.60</u>	<u>\$ 10,147,661.34</u>



SCHEDULE A (cont'd)  
PRINCIPAL RECEIVED (cont'd)

FORWARD	\$ 200,024.60	\$ 10,147,661.34
01/25/10 0.125 Shs. Gabriel Venture Partners, LP	1,656.00	
01/25/10 0.5 Shs. Jewelry	1,945.00	
01/25/10 0.7157 Shs. Behrman Capital, LP	17,328.50	
01/25/10 0.5 Shs. Furniture & Furnishings located 9 Robledo, Dallas Texas (Jo Hopper's Share)	42,224.50	
01/26/10 6,784 Shs. Golf Club Collection	153,824.50	
08/19/10 220 Shs. Municipal Mortgage & Equity LLC Growth Shares	88.50	
12/21/10 220 Shs. Municipal Mortgage & Equity LLC Growth Shares	-88.50	
12/21/10 2,500 Shs. Avistar Communications Corp	-950.00	
12/28/10 554 Shs. Medidata Solutions Inc	8,768.64	
12/28/10 50,000 Shs. Sierra Atlantic, Inc - Series A - Restricted	-101,000.00	
12/28/10 40,000 Shs. Sierra Atlantic, Inc - Restricted	-80,800.00	
01/21/11 0.5 Shs. American Airlines Miles	25,957.10	
01/28/11 60,250 Shs. eCivis, Inc - Restricted	-7,230.00	
01/31/11 785 Shs. Domino's Pizza Inc	8,933.30	
02/09/11 785 Shs. Domino's Pizza Inc	-8,933.30	
02/28/11 1 Shs. Flying Needles (Assets At Warehouse)	2,406.00	
02/28/11 1 Shs. Flying Needles (Assets At Warehouse)	-2,406.00	
03/01/11 5,661 Shs. Critical Technologies, Inc - Series B - Restricted	0.00	
03/01/11 16,665 Shs. Critical Technologies, Inc - Series B - Restricted	-166.65	
03/31/11 713 Shs. Tibersoft Corporation - Restricted	-285.40	
05/17/11 0.5 Shs. American Airlines Miles	-25,957.10	
05/17/11 554 Shs. Medidata Solutions Inc	-8,768.64	
06/03/11 66,565.5 Shs. Critical Technologies, Inc - Series A 2005 - Restricted	-6,656.55	
11/30/11 1 Shs. Max Hopper & Associates (Furniture & Equipment)	-2,935.50	
FORWARD	\$ 216,979.00	\$ 10,147,661.34

Schedule A  
Page 5

A/C #P19276008  
Estate Of Max D. Hopper

SCHEDULE A (cont'd)  
PRINCIPAL RECEIVED (cont'd)

FORWARD	\$ 216,979.00	\$ 10,147,661.34
12/09/11 0.125 Shs. Gabriel Venture Partners, LP	-1,656.00	
01/19/12 0.5 Shs. Wine Collection (50% Community Property Less \$5,188.33 Sold)	71,276.16	\$ 286,599.16
01/25/10 <u>Jo Hopper's Share of Community Property (Cash)</u>		
01/25/10 Received Refund From Internal Revenue Service	\$ 25,458.00	
01/25/10 Received From Richardson Medial Center	61.15	
01/25/10 Cash Held By Gartner Inc	2,083.50	
01/25/10 Received Accrued Interest Thru DOD On Refund From Internal Revenue Service	807.63	
01/25/10 Liquidation of Sevin Rosen V Affilates Fund LP	271.60	
01/25/10 Unclaimed Property At California	1,140.00	
06/28/10 Received \$454,075.72 For Sale Of Gartner Inc Class A (.50 Shares Sold on 06/28 For \$12.62 And 18,535.00 Shares Sold On 07/01 For \$454,075.72)	454,088.34	
07/02/10 Received \$383,967.00 For Cash Merger Of Provider Heath Net Services For Common Stock And Restricted Shares	383,967.00	
07/16/10 Received Jo's Share of Cash On Hand At DOD (Included Perficent Dividend of \$3,750.00 & Jack McDonald's Check of \$100)	1,925.00	
07/23/10 Received \$475,713.65 For Cash Merger Of PGP/Symantec	475,713.65	
08/02/10 Interest For Jo's Share 07/2010	11.49	
09/01/10 Interest For Jo's Share 08/2010	39.58	
09/03/10 Interest For Jo's Share Through 07/2010	27.40	
09/08/10 Distribution Of Cash (Gartner Proceeds \$12.62, \$454,075.72, \$2,083.50, PGP/Symantic \$475,713.65 & \$27.40 of interest)	-931,912.89	
09/08/10 Distribution Of Cash	-23.11	
09/08/10 Distribution Of Cash For Jo's Share of Cash On Hand At DOD	-1,925.00	
10/01/10 Interest For Jo's Share 09/2010	8.94	
10/04/10 Received Refund From Mens Golf Association at the Four Seasons	12.50	
FORWARD	\$ 411,754.78	\$ 286,599.16 \$ 10,147,661.34

SCHEDULE A (cont'd)  
PRINCIPAL RECEIVED (cont'd)

FORWARD	\$	411,754.78	\$	286,599.16	\$ 10,147,661.34
11/03/10 Distributed \$383,967.00 For Cash Merger Of Provider Heath Net Services For Common Stock And Restricted Shares				-383,967.00	
11/09/10 Distributed \$98,940.00 For Cash Merger Of 3 Par Inc				-98,940.00	
11/09/10 Received \$98,940.00 For Cash Merger Of 3 Par Inc				98,940.00	
11/10/10 PHSN/VenYu Escrow Distributions				105,056.47	
11/15/10 Distributed \$193.65 For Sale of Sevin Rosen VI Fund				-193.65	
11/15/10 Received \$193.73 For Sale of Sevin Rosen VI Fund, Distributed \$193.65				193.73	
11/15/10 Distributed Jo Hopper's Share of PHSN/VenYu Escrow Distributions				-105,056.47	
12/01/10 Interest For Jo's Share 12/2010-07/2011				0.08	
02/02/11 Received \$12.59 For .50 Shares of Medidata Solutions Inc Sold				12.59	
02/02/11 Received Proceeds For The Sale Of .50 Share Of Solarwinds Inc				9.58	
02/03/11 Distributed \$12.59 For .50 Shares of Medidata Solutions Inc Sold				-12.59	
02/03/11 Distributed Funds For The Sale Of .50 Share Of Solarwinds Inc				-9.58	
02/09/11 PHSN/VenYu Escrow Distributions				31,387.61	
02/09/11 Community Property Share of Credit Suisse Brokerage				8,803.58	
02/10/11 Distributed Share of Credit Suisse Brokerage				-8,803.58	
02/14/11 Distribution Of Cash				-8.25	
04/08/11 Received Proceeds For The Sale Of 2005 Chevrolet Suburban				4,364.70	
04/18/11 PHSN/VenYu Escrow Distributions				2,931.40	
04/18/11 PHSN/VenYu Escrow Distributions				3,327.38	
05/26/11 Received Proceeds For The Sale Of 2003 BMW 750 Li				6,758.14	
06/17/11 Distributed Unclaimed Property At California				-1,140.00	
06/17/11 Distributed Proceeds Of Sale Of 2003 BMW 750 Li				-6,758.14	
FORWARD	\$	58,650.78	\$	286,599.16	\$ 10,147,661.34

SCHEDULE A (cont'd)  
PRINCIPAL RECEIVED (cont'd)

FORWARD	\$	68,650.78	\$	286,599.16	\$ 10,147,661.34
06/21/11 Distributed Funds From 2008 IRS Refund & Interest.		-27,594.84			
06/22/11 Received Interest On Refund From Internal Revenue Service		1,329.21			
06/24/11 Symantec		82,925.70			
07/11/11 Distribution Of Cash (Includes interest for 08/2010-07/2011, Sevin Rosen V \$271.60, Golf \$12.50 & an additional .08 Less \$23.11 already distributed)		-321.16			
09/19/11 Gabriel Venture Partners LP Income		950.00			
09/19/11 Gabriel Venture Partners LP Special Distribution		300.00			
10/28/11 Received Proceeds For The Sale Of 1986 Porsche 944 Turbo		2,800.00			
01/19/12 Received Proceeds From Partial Sale Of Wine Collection		5,188.33			
04/12/12 PHSN/Venyu Escrow Distributions		67,337.15			
05/11/12 PHSN/Venyu Escrow Distributions		<u>6,458.44</u>		208,023.61	
<u>Additional Assets Received</u>					
01/26/10 0.5 Shs. Tibersoft Corporation - Restricted (Jo Hopper's Share Distributed In Kind To Laura & Steve)	\$	0.20			
10/04/10 Received Refund From Mens Golf Association at the Four Seasons		12.50			
02/28/11 1 Shs. Flying Needles (Assets At Warehouse)		2,406.00			
06/24/11 Symantec		<u>82,925.70</u>		85,344.40	
<u>Assets Deemed Worthless</u>					
01/25/10 Additional 5,661 Shs. Critical Technologies, Inc - Series B - Restricted Above Inventory Shares	\$	0.00			
01/26/10 7,132 Shs. Marketworks, Inc - Restricted		0.00			
01/26/10 150,000 Shs. Com2001.Com Inc - Series B - Restricted		0.00			
01/26/10 22,633 Shs. Enosys Software, Inc - Series B - Restricted		0.00			
01/26/10 13,145 Shs. Enosys Software, Inc - Series A - Restricted		0.00			
FORWARD	\$	<u>579,967.17</u>		\$ 10,147,661.34	

SCHEDULE A (cont'd)  
PRINCIPAL RECEIVED (cont'd)

FORWARD

\$ 579,967.17 \$ 10,147,661.34

01/26/10	100,000 Shs. E-seed, LLC - Membership Interest Shares	0.00	
01/26/10	40,000 Shs. Matthews Communications Management, Inc - Restricted	0.00	
01/26/10	100,000 Shs. Cyberplus Corporation - Series D - Restricted	0.00	
01/26/10	50,000 Shs. Com2001.Com Inc - Restricted	0.00	
01/26/10	183 Shs. Metawave Communications Corp	0.00	
08/17/10	25,000 Shs. Alexis Communications Inc - Restricted	0.00	
08/17/10	23,250 Shs. Alexis Communications Inc - Series B - Restricted	0.00	
08/17/10	1,000 Shs. Airgate Pcs - Restricted	0.00	0.00

Additional Stock Received Included In Gain Funds Value On Inventory

12/28/10	554.5 Shs. Medidata Solutions Inc	\$ 8,776.55	
01/31/11	786 Shs. Domino's Pizza Inc	8,944.68	17,721.23

PHSN/Venue Escrow Distributions

11/10/10	Cash	\$ 105,056.46	
02/09/11	Cash	31,387.60	
04/18/11	Cash	3,327.38	
04/18/11	Cash	2,931.40	
04/12/12	Cash	67,337.15	
05/11/12	Cash	6,458.43	216,498.42

Additional Stock Received Included In Insight Venture Partners Value On Inventory

12/28/10	917.5 Shs. Solarwinds Inc	17,602.24	831,789.06
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TOTAL PRINCIPAL RECEIVED

\$ 10,979,450.40  
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SCHEDULE A-1  
REALIZED INCREASES DUE TO SALES OR MATURITIES

<u>Increases on Sales, Redemptions, etc.</u>		<u>Gain</u>
01/25/10	0.0118 Shs. General Atlantic Partners Net Proceeds 723.00 Inventory Value 281.50	\$ 441.50
06/28/10	0.5 Shs. Gartner Inc Class A Net Proceeds 12.62 Inventory Value 10.63	1.99
07/01/10	18,535 Shs. Gartner Inc Class A Net Proceeds 454,075.72 Inventory Value 394,054.10	60,021.62
07/02/10	7,142.5 Shs. Provider Healthnet Services Inc - Class B - Restricted Net Proceeds 127,989.00 Inventory Value 31,587.07	96,401.93
07/02/10	17,180.5 Shs. Provider Health Net Services Net Proceeds 127,989.00 Inventory Value 75,979.23	52,009.77
07/02/10	57,500 Shs. PGP Net Proceeds 465,438.24 Inventory Value 215,050.00	250,388.24
07/02/10	25,000 Shs. PGP Net Proceeds 10,275.41 Inventory Value 4,750.00	5,525.41
07/12/10	10,500 Shs. Gartner Inc (Net Proceeds \$242,552.19 Less \$100,415.00 - Exercise 50% Of Gartner Options) Net Proceeds 142,137.19 Inventory Value 122,815.00	19,322.19
10/21/10	1 Shs. Coin Collection Net Proceeds 40,000.00 Inventory Value 34,385.00	5,615.00
01/25/11	0.0524 Shs. Absolute Return Capital Partners Net Proceeds 333,252.15 Inventory Value 312,967.00	20,285.15
01/25/11	0.0528 Shs. Brookside Capital Partners Fund, LP Net Proceeds 5,744,612.93 Inventory Value 5,044,345.00	700,267.93
02/02/11	8 Shs. Perficient Inc Net Proceeds 96.47 Inventory Value 75.04	21.43
02/02/11	0.5 Shs. Medidata Solutions Inc Net Proceeds 12.60 Inventory Value 7.91	4.69
FORWARD		\$ 1,210,306.85

SCHEDULE A-1 (cont'd)  
REALIZED INCREASES DUE TO SALES OR MATURITIES (cont'd)

<u>Increases on Sales, Redemptions, etc. (cont'd)</u>		<u>Gain</u>	
FORWARD		\$ 1,210,306.85	
02/04/11	7,898 Shs. Perficient Inc		
	Net Proceeds	95,426.54	
	Inventory Value	<u>25,833.64</u>	69,592.90
02/04/11	94 Shs. Perficient Inc		
	Net Proceeds	1,135.74	
	Inventory Value	<u>881.72</u>	254.02
02/11/11	1 Shs. Domino's Pizza Inc		
	Net Proceeds	16.50	
	Inventory Value	<u>11.38</u>	5.12
02/24/11	4,000 Shs. Realpage Inc		
	Net Proceeds	111,877.84	
	Inventory Value	<u>11,320.00</u>	100,557.84
03/18/11	694.5 Units First Russian Emerging Market Fund		
	Net Proceeds	30,835.31	
	Inventory Value	<u>29,773.93</u>	1,061.38
04/01/11	4,000 Shs. Realpage Inc		
	Net Proceeds	110,787.47	
	Inventory Value	<u>11,320.00</u>	99,467.47
05/20/11	1 Shs. Solarwinds Inc		
	Net Proceeds	22.65	
	Inventory Value	<u>19.19</u>	3.46
05/20/11	1 Shs. Domino's Pizza Inc		
	Net Proceeds	23.56	
	Inventory Value	<u>11.38</u>	12.18
05/20/11	1 Shs. Perficient Inc		
	Net Proceeds	11.22	
	Inventory Value	<u>9.38</u>	1.84
06/28/11	40,000 Shs. Sierra Atlantic, Inc - Restricted		
	Net Proceeds	205,818.98	
	Inventory Value	<u>80,800.00</u>	125,018.98
06/28/11	50,000 Shs. Sierra Atlantic, Inc - Series A - Restricted		
	Net Proceeds	257,273.73	
	Inventory Value	<u>101,000.00</u>	156,273.73
09/19/11	Special Distribution Gabriel Venture Partners, L.P.		
	Net Proceeds	300.00	
	Inventory Value	<u>0.00</u>	300.00
TOTALS			
	Net Proceeds	8,260,143.87	
	Inventory Value	<u>6,497,288.10</u>	\$ 1,762,855.77
TOTAL SCHEDULE A-1			\$ 1,762,855.77

SCHEDULE B  
REALIZED DECREASES DUE TO SALES OR MATURITIES

<u>Decreases on Sales, Redemptions, etc.</u>		<u>Loss</u>	
01/26/10	Received Cash Held In Max Hopper & Associates		
	Inventory Value	242.01	
	Net Proceeds	242.01	\$ .00
07/02/10	62,500 Shs. Provider Healthnet Services Inc - Class B		
	Inventory Value	276,400.69	
	Net Proceeds	127,989.00	148,411.69
10/22/10	1.151 Shs. Sevin Rosen V Affiliates Fund LP		
	Inventory Value	2,720.50	
	Net Proceeds	271.61	2,448.89
11/09/10	3,000 Shs. 3 Par Inc		
	Inventory Value	98,940.00	
	Net Proceeds	98,940.00	.00
11/10/10	0.227 Shs. Sevin Rosen VI Affiliates Fund LP		
	Inventory Value	4,242.00	
	Net Proceeds	193.72	4,048.28
02/02/11	0.5 Shs. Solarwinds Inc		
	Inventory Value	9.59	
	Net Proceeds	9.58	.01
02/28/11	1 Shs. Max Hopper & Associates		
	50 % Appraised Value		
	Inventory Value	2,935.50	
	Net Proceeds	2,935.50	.00
02/28/11	1 Shs. Flying Needles (Assets At Warehouse)		
	Inventory Value	2,406.00	
	Net Proceeds	2,406.00	.00
04/08/11	0.5 Shs. 2005 Chevrolet Suburban		
	Inventory Value	9,650.00	
	Net Proceeds	4,364.71	5,285.29
05/26/11	0.5 Shs. 2003 BMW 750 Li		
	Inventory Value	10,600.00	
	Net Proceeds	6,758.13	3,841.87
10/28/11	0.5 Shs. 1986 Porsche 944 Turbo		
	Inventory Value	5,312.50	
	Net Proceeds	2,800.00	2,512.50
01/19/12	Wine Collection		
	Inventory Value	5,188.34	
	Net Proceeds	5,188.34	.00
TOTALS			
	Net Proceeds	252,098.60	
	Inventory Value	418,647.13	\$ 166,548.53



SCHEDULE B (cont'd)  
REALIZED DECREASES DUE TO SALES OR MATURITIES (cont'd)

<u>Decreases on Revaluation of Assets</u>		<u>Decrease</u>
06/30/10	Reappraise To Reduce Value Due To Stock Split 200,000 Shs. Realpage Inc	
	Inventory Value	566,000.00
	Market Value	<u>283,000.00</u>
		\$ 283,000.00
06/30/10	Reappraise To Reduce Value Due To Stock Split 25,000 Shs. Realpage Inc	
	Inventory Value	0.50
	Market Value	<u>0.26</u>
		.26
TOTALS		
	Market Value	283,000.24
	Inventory Value	<u>566,000.50</u>
		\$ 283,000.26
TOTAL SCHEDULE B		\$ 449,548.79

SCHEDULE C  
FUNERAL & ADMINISTRATION EXPENSES

FUNERAL EXPENSES

Jo Hopper

03/03/11 Reimbursement For Memorial Service And Reception Cost	\$	22,870.41	
03/03/11 Reimbursement For Cremation Cost		9,253.79	
03/03/11 Reimbursement For Cash Spent For Funeral Expenses		2,900.00	
03/03/11 Reimbursement For Urn Used For Cremation		540.00	
03/03/11 Reimbursement For Golf Balls For Memorial Services		295.41	
03/03/11 Reimbursement For Video Of Memorial Service		525.00	\$ 36,384.61 \$ 36,384.61

FEES AND COMMISSIONS

JPMorgan Chase Bank N.A.  
Administrator Fees

07/22/11 Partial Estate Fee 230,000.00

Texas Workforce

11/29/10 Commission Payment Due On Behalf Of Estate 30.14 230,030.14

LEGAL SERVICES

Dykema Gossett PLC

03/27/12 For Services Rendered	\$	784.00	
05/11/12 For Services Rendered		4,860.00	
05/11/12 For Services Rendered		1,680.00	
05/11/12 For Services Rendered		540.00	7,864.00

Hunton & Williams

08/23/10 For Services Rendered	\$	24,526.34	
10/21/10 For Services Rendered 08/10, 09/10 & 10/10		25,106.63	
12/22/10 For Services Rendered		11,245.80	
02/18/11 For Services Rendered		7,034.85	

FORWARD \$ 67,913.62 \$ 7,864.00 \$ 266,414.75

FORWARD

LEGAL SERVICES (cont'd)

03/10/11 For Services Rendered	20,634.76
05/25/11 For Services Rendered	16,560.50
06/20/11 For Services Rendered	7,257.08
07/21/11 For Services Rendered	28,428.25
08/25/11 For Services Rendered	48,415.08
09/28/11 For Services Rendered	80,453.68
10/25/11 For Services Rendered	99,421.75
10/25/11 For Services Rendered	13,386.00
12/08/11 For Services Rendered	87,160.00
12/08/11 For Services Rendered	66,886.95
01/13/12 For Services Rendered	110,441.79
01/13/12 For Services Rendered	15,409.38
02/15/12 For Services Rendered	78,302.39
02/15/12 For Services Rendered	20,280.75
02/15/12 For Services Rendered	11,804.06
03/09/12 For Services Rendered	84,229.22
03/15/12 For Services Rendered	77,933.14
03/15/12 For Services Rendered	18,279.00
04/17/12 For Services Rendered	55,169.45
04/17/12 For Services Rendered	40,174.61
05/29/12 For Services Rendered	62,811.02
05/29/12 For Services Rendered	24,115.74
05/29/12 For Services Rendered	<u>4,843.00</u>
	1,140,311.22

5,200.00

9,187.21

FORWARD ..

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SCHEDULE C (cont'd)  
FUNERAL & ADMINISTRATION EXPENSES (cont'd)

\$ 1,428,977.18

FORWARD

REAL ESTATE EXPENSES

A-1 Lawn & Landscaping

03/08/12 For Lawn Clean Up Expenses	\$ 676.56	
04/03/12 For Mowing	189.44	
04/30/12 For Mowing	<u>189.44</u>	1,055.44

Angelina County Taxes

12/22/11 2011 Ad Valorem Taxes		886.94
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Paul Tucker- Lufkin Property

03/01/11 For Mowing Of Lawn	\$ 200.00	
05/04/11 For Mowing Of Lawn	200.00	
06/03/11 For Mowing Of Lawn	245.00	
07/07/11 For Mowing Of Lawn	200.00	
08/01/11 For Mowing Of Lawn	240.00	
08/30/11 For Mowing Of Lawn	100.00	
09/30/11 For Mowing Of Lawn	100.00	
11/01/11 For Mowing Of Lawn	100.00	
12/06/11 For Mowing Of Lawn	120.00	
01/04/12 For Mowing Of Lawn	100.00	
02/01/12 For Mowing Of Lawn	100.00	
03/01/12 For Mowing Of Lawn	<u>100.00</u>	1,805.00

Thelma Midget Sherman, RTA

01/25/11 2010 Real Estate Taxes	<u>880.71</u>	4,628.09
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ADMINISTRATION - MISC. EXPENSES

Bain Capital, LLC

05/26/11 For Services Rendered For Asset Transfer		6,870.00
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FORWARD

\$ 6,870.00 \$ 1,433,605.27

SCHEDULE C (cont'd)  
FUNERAL & ADMINISTRATION EXPENSES (cont'd)

FORWARD	\$		6,870.00	\$	1,433,605.27
 <u>ADMINISTRATION - MISC. EXPENSES (cont'd)</u>					
<u>Dickerson-Seely &amp; Associates, Inc -</u>					
<u>Lufkin Property</u>					
02/23/11 Appraisal Fee			450.00		
 <u>Dallas County Clerk - Probate</u>					
10/12/10 For Purchase Of Letters Test			60.00		
 <u>Elite Technology</u>					
12/22/11 For Documents Produced	\$	1,184.88			
02/03/12 For Documents Produced		487.13			
02/03/12 For Documents Produced		<u>659.08</u>	2,331.09		
 <u>Chuck Furjanic, Inc</u>					
03/18/11 For Consultation On Golf Clubs			1,200.00		
 <u>Gabriel Ventures Partners, LP</u>					
12/30/11 Payment For Reassignment			1,102.50		
 <u>Glacial Energy</u>					
01/17/12 For Electricity At Warehouse	\$	177.71			
02/10/12 For Electricity At Warehouse		84.83			
03/07/12 For Electricity At Warehouse		70.23			
04/05/12 For Electricity At Warehouse		114.03			
05/10/12 For Electricity At Warehouse		<u>162.03</u>	608.83		
 <u>The Hartford</u>					
02/11/11 For Insurance Policy	\$	800.69			
12/02/11 For Insurance Policy		140.62			
02/10/12 For Insurance Policy		245.57			
05/09/12 For Insurance Policy		<u>212.67</u>	1,399.55		
 <u>ICG Property Tax Services</u>					
04/01/11 2010 Ad Valorem Taxes	\$	10.00			
FORWARD	\$	10.00	\$	14,021.97	\$ 1,433,605.27

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Estate Of Max D. Hopper

FORWARD	\$	10.00	\$	14,021.97	\$	1,433,605.27
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\$ 109,633.52      \$ 1,433,605.27

SCHEDULE C (cont'd)  
FUNERAL & ADMINISTRATION EXPENSES (cont'd)

FORWARD

\$ 109,633.52 \$ 1,433,605.27

ADMINISTRATION - MISC. EXPENSES (cont'd)

M Gronberg Appraisal Services

06/07/11 For Fine Jewelry Appraisal 210.00

N. Dallas Bank & Trust Co.

FAC Max D. Hopper Associates

10/07/10 For October Monthly Expenses \$ 4,000.00

11/04/10 For November Monthly Expenses 4,000.00

12/03/10 For December Monthly Expenses 4,000.00 12,000.00

Perficient, Inc

C/O Silicon Valley Bank

01/06/11 For Exercise Of Operating Options For  
The Estate 168,000.00

Realpage Inc

C/O Comerica Bank

01/07/11 Cash 75,000.00

RMB Richardson Commerce Center

01/06/11 For Storage Space \$ 2,000.00

01/14/11 For Storage Space 2,000.00

03/11/11 For Storage Space 2,000.00

03/23/11 For Storage Space 2,000.00

04/22/11 For Storage Space 2,000.00

05/23/11 For Storage Space 2,000.00

06/23/11 For Storage Space 2,000.00

07/22/11 For Storage Space 2,000.00

08/23/11 For Storage Space 2,000.00

09/23/11 For Storage Space 2,000.00

11/16/11 For Storage Space 2,000.00

11/28/11 For Storage Space 2,000.00

12/28/11 For Storage Space 2,000.00

01/31/12 For Storage Space 2,000.00

FORWARD

\$ 28,000.00 \$ 364,843.52 \$ 1,433,605.27

## FORWARD

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SCHEDULE C (cont'd)  
FUNERAL & ADMINISTRATION EXPENSES (cont'd)

FORWARD

\$ 416,200.63 \$ 1,433,605.27

ADMINISTRATION - MISC. EXPENSES (cont'd)

Signet Art

12/01/10 For Appraisal Of 103 Items And Sets 11,962.50

Thompson Coe Cousins And Iron

10/05/11 For Services Rendered \$ 2,812.50

02/15/12 For Photocopy Charges 2,041.65 4,854.15

Txu Energy

03/16/12 Payment 87.09 433,104.37

FEDERAL STATE & LOCAL TAXES

Internal Revenue Service - Federal  
Fiduciary Income Tax

01/13/11 Quarterly Estimate \$ 384,156.00

01/26/11 2010 Balance Due 56.00

11/18/11 50% Payment For Amended Tax Return 527.00

11/25/11 2010 Refund -8,500.00

02/03/12 For 2008 Amended Return 87,854.60

03/22/12 Increase In Interest 33.21

05/11/12 For 2008 Amended Return 8,121.33 472,248.14 472,248.14

TOTAL FUNERAL & ADMINISTRATION EXPENSES \$ 2,338,957.78

SCHEDULE C-1  
UNPAID ADMINISTRATION EXPENSES

UNPAID ADMINISTRATION EXPENSES

JPMorgan Chase Bank, N.A. - Administrator  
Fees

05/31/12 Unknown At This Time

\$ .00

Hunton & Williams - Legal Fees

05/31/12 Unknown At This Time

00 \$ .00

TOTAL UNPAID ADMINISTRATION EXPENSES

=====

As of May 31, 2012 no portion of the expenses described on Schedule C have been formally allocated to Mrs. Hopper in this accounting, and a dispute exists among the Independent Administrator, Mrs. Hopper, Steven Hopper and Laura Wasmer as to what portion of the expenses reported on Schedule C should be charged to Mrs. Hopper. The listing of these expenses as estate expenses on Schedule C is being presented subject to the express reservation of the right of the Independent Administrator to make an allocation of a portion of the expense charges incurred between January 25, 2010 and May 31, 2012 to Mrs. Hopper at a later date.

SCHEDULE D  
CREDITORS' CLAIMS

DEBTS OF DECEDENT

Adt Security Svstems Services

09/21/11 Payment	\$	171.60	
09/21/11 Payment		125.38	
12/27/11 Payment		171.60	
03/22/12 Payment		<u>171.60</u>	\$ 640.18

Atmos Energy

02/11/11 Payment	\$	48.29	
02/23/11 Payment		47.81	
03/24/11 Payment		41.33	
04/25/11 Payment		42.71	
05/25/11 Payment		40.77	
06/27/11 Payment		40.77	
08/01/11 Payment		40.68	
08/31/11 Payment		40.68	
09/30/11 Payment		40.68	
10/31/11 Payment		40.68	
12/02/11 Payment		40.68	
01/05/12 Payment		40.68	
02/03/12 Payment		40.68	
03/01/12 Payment		40.68	
04/04/12 Payment		40.68	
05/02/12 Payment		<u>40.68</u>	668.48

Cardiology & Interventional

08/25/10 Payment			32.82
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Classic Wine

10/25/11 For Wine Storage	\$	468.00	
11/30/11 For Wine Storage		234.00	
11/30/11 For Wine Storage		1,565.00	
FORWARD	\$	<u>2,267.00</u>	\$ <u>1,341.48</u>

SCHEDULE D (cont'd)  
CREDITORS' CLAIMS (cont'd)

FORWARD	\$	2,267.00	\$	1,341.48
<u>DEBTS OF DECEDENT (cont'd)</u>				
<u>Classic Wine (cont'd)</u>				
01/13/12 For Wine Storage		234.00		
02/01/12 For Wine Storage		326.28		
03/22/12 For Wine Storage		234.00		
04/04/12 For Wine Storage		234.00		
05/02/12 For Wine Storage		<u>234.00</u>		3,529.28
<u>City Of Dallas Emergency</u>				
08/25/10 Payment	\$	83.76		
11/16/11 For Permit Fee For Electricity At Warehouse		<u>100.00</u>		183.76
<u>Diane Teitelbaum &amp; Associates</u>				
12/17/10 For Wine Appraisal	\$	1,000.00		
03/14/11 For Appraisal Of Wine Estate Collection		3,975.00		
02/07/12 For Placement Of Wine Collection		900.00		
03/27/12 For Commission For Mouton Sale		<u>371.99</u>		6,246.99
<u>Dr. Allan N. Shulkin, M.D. PA</u>				
09/16/10 For Services Rendered From 01/10 Through 03/10				182.37
<u>Eco-Safe Of Dallas</u>				
09/21/11 Payment	\$	70.36		
02/01/12 Payment		<u>70.36</u>		140.72
<u>Firsttrust Savings Bank</u> <u>For Citi Specific Settlement</u>				
10/05/10 Credit Card Payment				2,330.00
<u>Highland Park Appraisals, LLC</u>				
12/01/10 For Appraisal Of Quilts And Needlework	\$	4,905.00		
12/20/10 For Appraisal For The Flying Needles		<u>603.75</u>		5,508.75
FORWARD	\$		\$	19,463.35

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Estate Of Max D. Hopper

SCHEDULE D (cont'd)  
CREDITORS' CLAIMS (cont'd)

FORWARD	\$	19,463.35
<u>DEBTS OF DECEDENT (cont'd)</u>		
<u>Jo Hopper</u>		
03/03/11 Reimbursement For Medical Bill Paid For Max D. Hopper		1,100.00
<u>Majors Medical Service</u>		
09/16/10 Payment		34.51
<u>Paramount Recovery System</u>		
09/16/10 For Services Rendered From 01/10 Through 02/10		67.75
<u>Pinnacle Anesthesia Consultant</u>		
09/16/10 For Service Rendered On 01/21/10		40.29
<u>Rives R. Mcbee</u>		
12/10/10 For Appraisal Of Golf Clubs & Golf Memorabilia		13,000.00
<u>Dr. Rob Dickerman</u>		
12/10/10 Payment For Final Bill		26.13
<u>RS Clark And Associates Inc</u>		
08/25/10 Payment For Radiology Consulting Fees		19.32
<u>Sarah Jane Pate Williamson PC</u>		
10/07/10 50% Payment To CPA For 2009 1040 Returns Preparation		1,310.00
<u>Texas Health</u>		
09/16/10 Payment	238.01	\$ 35,299.36
TOTAL CREDITORS' CLAIMS		\$ 35,299.36

SCHEDULE E  
DISTRIBUTIONS OF PRINCIPAL

Distributions To And/Or For The Benefit  
Of Jo Hopper

C/O N Dallas Bank & Trust Co  
For 1/3 Share of Coin Collection  
Proceeds  
10/21/10

\$ 13,333.33

Distributions To And/Or For The Benefit  
Of Laura H. Wassner

C/O Missouri Bank And Trust Co  
10/29/10

\$ 50,000.00

C/O Blue Ridge Bank & Trust Co  
03/02/11 \$ 1,000,000.00  
04/18/11 1,000,000.00

2,000,000.00

01/26/10	7.25 Shs. Jamcracker, Inc	1.66
01/26/10	0.0072 Shs. Bain Capital Fund VI, LP	5,880.00
01/26/10	0.58 Shs. Bain Fund VII Private Investors, LLC	52,517.00
01/26/10	0.11 Shs. Insight Capital Partners Co Investors III	9,127.00
01/26/10	0.0775 Shs. Insight Capital Partners IV	302,141.50
01/26/10	0.1167 Shs. Sevin Rosen VII Affiliates Fund LP	2,626.75
01/26/10	0.0143 Shs. Sevin Rosen VIII LP	19,156.00
01/26/10	1 Shs. Bain Fund VII Co-Investors, LLC	28,378.00
01/26/10	191,669.25 Shs. Instantis, Inc	67,083.36
01/26/10	42,708.25 Shs. GT Nexus, Inc	38,010.34
01/26/10	47,812.5 Shs. Jamcracker, Inc	8,606.25
04/20/11	46,000 Shs. Realpage Inc	130,180.00
04/20/11	6,250 Shs. Realpage Inc	0.12
05/17/11	9,801 Shs. Perficient Inc	32,058.18
05/17/11	392 Shs. Domino's Pizza Inc	4,460.96
05/17/11	512 Shs. Quest Software Inc	8,919.04
05/17/11	458 Shs. Solarwinds Inc	8,786.73
05/17/11	277 Shs. Medidata Solutions Inc	4,384.32
05/17/11	0.25 Shs. American Airlines Miles	12,978.55
06/03/11	33,282.75 Shs. Critical Technologies, Inc - Series A 2005 - Restricted	3,328.28
08/11/11	1,250 Shs. Avistar Communications Corp	475.00
09/01/11	110 Shs. Municipal Mortgage & Equity LLC Growth Shares	44.25

FORWARD

\$ 2,789,143.29 \$ 13,333.33  
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Estate Of Max D. Hopper

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EXHIBIT A

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SCHEDULE E (cont'd)  
DISTRIBUTIONS OF PRINCIPAL (cont'd)

FORWARD                      \$ 2,789,143.29 \$ 13,333.33

Distributions To And/Or For The Benefit  
Of Laura H. Wassner (cont'd)

09/13/11	357 Shs. Tibersoft Corporation *		
	Restricted	142.80	
11/10/11	30,125 Shs. eCivis, Inc - Restricted	3,615.00	
11/30/11	0.5 Shs. Jewelry (including two cuff links)	262.50	
12/09/11	0.0625 Shs. Gabriel Venture Partners, LP	828.00	2,793,991.59

Distributions To And/Or For The Benefit  
Of Stephen Hopper

C/O Citibank  
DDA Account #XXXX3953  
11/01/10                      \$ 50,000.00  
03/02/11                      ~~1,000,000.00~~                      \$ 1,050,000.00

C/O Blue Ridge Bank & Trust Co  
04/18/11                      1,000,000.00

01/26/10	7.25 Shs. Jamcracker, Inc	1.67	
01/26/10	0.0072 Shs. Bain Capital Fund VI, LP	5,880.00	
01/26/10	0.58 Shs. Bain Fund VII Private Investors, LLC	52,517.00	
01/26/10	0.0775 Shs. Insight Capital Partners IV	302,141.50	
01/26/10	0.11 Shs. Insight Capital Partners Co Investors III	9,127.00	
01/26/10	0.1168 Shs. Sevin Rosen VII Affiliates Fund LP	2,626.75	
01/26/10	0.0143 Shs. Sevin Rosen VIII LP	19,156.00	
01/26/10	1 Shs. Bain Fund VII Co-Investors, LLC	28,378.00	
01/26/10	191,669.25 Shs. Instantis, Inc	67,083.36	
01/26/10	42,708.25 Shs. GT Nexus, Inc	38,010.34	
01/26/10	47,812.5 Shs. Jamcracker, Inc	8,606.25	
04/20/11	46,000 Shs. Realpage Inc	130,180.00	
04/20/11	6,250 Shs. Realpage Inc	0.12	
05/17/11	512 Shs. Quest Software Inc	8,919.04	
05/17/11	458 Shs. Solarwinds Inc	8,786.73	
05/17/11	277 Shs. Medidata Solutions Inc	4,384.32	
05/17/11	9,801 Shs. Perficient Inc	32,058.18	
05/17/11	392 Shs. Domino's Pizza Inc	4,460.96	

FORWARD

\$ 2,772,317.22 \$ 2,807,324.92

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Estate Of Max D. Hopper

FORWARD	\$ 2,772,317.22	\$ 2,807,324.92
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05/17/11	0.25 Shs. American Airlines Miles	12,978.55
06/03/11	33,282.75 Shs. Critical Technologies, Inc - Series A 2005 - Restricted	3,328.27
08/11/11	1,250 Shs. Avistar Communications Corp	475.00
09/01/11	110 Shs. Municipal Mortgage & Equity LLC Growth Shares	44.25
09/13/11	357 Shs. Tibersoft Corporation - Restricted	142.80
11/10/11	30,125 Shs. eCivis, Inc - Restricted	3,615.00
11/30/11	0.5 Shs. Jewelry (including two cuff links)	262.50
12/09/11	0.0625 Shs. Gabriel Venture Partners, LP	828.00
		2,793,991.59

10/28/10	100,000 Shs. Cyberplus Corporation - Series D - Restricted	\$	0.00
10/28/10	23,250 Shs. Alexis Communications Inc - Series B - Restricted		0.00
10/28/10	22,633 Shs. Enosys Software, Inc - Series B - Restricted		0.00

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Estate Of Max D. Hopper



SCHEDULE E (cont'd)  
DISTRIBUTIONS OF PRINCIPAL (cont'd)

FORWARD

\$ 6,461,102.84

Deemed As Worthless (cont'd)

10/28/10	40,000 Shs. Matthews Communications Management, Inc - Restricted	\$	0.00
10/28/10	150,000 Shs. Com2001.Com Inc - Series B - Restricted		0.00
10/28/10	50,000 Shs. Com2001.Com Inc - Restricted		0.00
01/24/11	13,145 Shs. Enosys Software, Inc - Series A - Restricted		0.00
01/24/11	100,000 Shs. E-seed, LLC - Membership Interest Shares		0.00
01/31/11	7,132 Shs. Marketworks, Inc - Restricted		0.00
02/08/11	25,000 Shs. Alexis Communications Inc - Restricted		0.00
03/01/11	16,665 Shs. Critical Technologies, Inc - Series B - Restricted		166.65
03/01/11	183 Shs. Metawave Communications Corp		0.00
03/01/11	5,661 Shs. Critical Technologies, Inc - Series B - Restricted		0.00
04/22/11	1,000 Shs. Airgate Pcs - Restricted		0.00
TOTAL DISTRIBUTIONS OF PRINCIPAL			<u>\$ 6,461,269.49</u>

SCHEDULE F  
NEW INVESTMENTS

None

SCHEDULE F  
EXCHANGES AND STOCK DISTRIBUTIONS

		ACCOUNT VALUE
<u>1986 Porsche 944 Turbo</u>		
01/25/10	0.5 Shs. Date of Death Value	\$ 5,312.50
10/28/11	0.5 Shs. Sold	-5,312.50
	0.0 Shs.	\$ 0.00
<u>2003 BMW 750 Li</u>		
01/25/10	0.5 Shs. Date of Death Value	\$ 10,600.00
05/26/11	0.5 Shs. Sold	-10,600.00
	0.0 Shs.	\$ 0.00
<u>2005 Chevrolet Suburban</u>		
01/25/10	0.5 Shs. Date of Death Value	\$ 9,650.00
04/08/11	0.5 Shs. Sold	-9,650.00
	0.0 Shs.	\$ 0.00
<u>3 Par Inc.</u>		
01/25/10	3,000 Shs. Date of Death Value	\$ 98,940.00
11/09/10	3,000 Shs. Sold	-98,940.00
	0 Shs.	\$ 0.00
<u>Absolute Return Capital Partners</u>		
01/25/10	0.0524 Shs. Date of Death Value	\$ 312,967.00
01/25/11	0.0524 Shs. Sold	-312,967.00
	0 Shs.	\$ 0.00
<u>Airgate Pcs - Restricted</u>		
08/17/10	1,000 Shs. Asset Deemed Worthless	\$ 0.00
04/22/11	1,000 Shs. Deemed Worthless	0.00
	0 Shs.	\$ 0.00

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		<u>ACCOUNT VALUE</u>
<u>Alexis Communications Inc - Restricted</u>		
08/17/10	25,000 Shs. Asset Deemed Worthless	\$ 0.00
02/08/11	<del>25,000 Shs.</del> Deemed Worthless 0 Shs.	<del>0.00</del> \$ 0.00
<u>Alexis Communications Inc - Series B - Restricted</u>		
08/17/10	23,250 Shs. Asset Deemed Worthless	\$ 0.00
10/28/10	<del>23,250 Shs.</del> Deemed Worthless 0 Shs.	<del>0.00</del> \$ 0.00
<u>American Airlines Miles</u>		
01/21/11	0.50 Shs. Date of Death Value	\$ 25,957.10
05/17/11	0.25 Shs. Distributed To Laura H. Wassmer 0.25 Shs.	<del>-12,978.55</del> \$ 12,978.55
05/17/11	<del>0.25 Shs.</del> Distributed To Stephen Hopper 0.00 Shs.	<del>-12,978.55</del> \$ 0.00
<u>American Airlines Miles</u>		
01/21/11	0.5 Shs. Received Jo Hopper's Share	\$ 25,957.10
05/17/11	<del>0.5 Shs.</del> Distributed Jo Hopper's Share 0.0 Shs.	<del>-25,957.10</del> \$ 0.00
<u>Art</u>		
01/25/10	0.5 Shs. Date of Death Value	\$ 54,225.00
<u>Art (separate property)</u>		
01/25/10	1 Shs. Date of Death Value	\$ 1,750.00

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		ACCOUNT VALUE
<u>Avistar Communications Corp</u>		
01/25/10	2,500 Shs. Date of Death Value	\$ 950.00
08/11/11	1,250 Shs. Distributed To Stephen Hopper	-475.00
	1,250 Shs.	\$ 475.00
08/11/11	1,250 Shs. Distributed To Laura H. Wassmer	-475.00
	0 Shs.	\$ 0.00
<u>Avistar Communications Corp</u>		
01/25/10	2,500 Shs. Received Jo Hopper's Share	\$ 950.00
12/21/10	-2,500 Shs. Distributed Jo Hopper's Share	-950.00
	0 Shs.	\$ 0.00
<u>Bain Capital Fund VI, LP</u>		
01/25/10	0.0144 Shs. Date of Death Value	\$ 11,760.00
01/26/10	0.0072 Shs. Distributed To Stephen Hopper	-5,880.00
	0.0072 Shs.	\$ 5,880.00
01/26/10	0.0072 Shs. Distributed To Laura H. Wassmer	-5,880.00
	0 Shs.	\$ 0.00
<u>Bain Fund VII Co-Investors, LLC</u>		
01/25/10	2 Shs. Date of Death Value	\$ 56,756.00
01/26/10	1 Shs. Distributed To Laura H. Hopper	-28,378.00
	1 Shs.	\$ 28,378.00
01/26/10	1 Shs. Distributed To Stephen Hopper	-28,378.00
	0 Shs.	\$ 0.00
<u>Bain Fund VII Private Investors, LLC</u>		
01/25/10	1.16 Shs. Date of Death Value	\$ 105,034.00
01/26/10	0.58 Shs. Distributed To Stephen Hopper	-52,517.00
	0.58 Shs.	\$ 52,517.00
01/26/10	0.58 Shs. Distributed To Laura H. Wassmer	-52,517.00
	0.00 Shs.	\$ 0.00

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		ACCOUNT VALUE
<u>Baker's Rack</u>		
01/25/10	1 Shs. Date of Death Value	\$ 220.00
=====		
<u>Behrman Capital, LP</u>		
01/25/10	0.7157 Shs. Date of Death Value	\$ 17,328.50
=====		
<u>Behrman Capital, LP</u> <u>(Jo Hopper's Share)</u>		
01/25/10	0.7157 Shs. Received Jo Hopper's Share	\$ 17,328.50
=====		
<u>Brookside Capital Partners Fund, LP</u>		
01/25/10	0.0528 Shs. Date of Death Value	\$ 5,044,345.00
01/25/11	<u>0.0528 Shs.</u> Sold	<u>-5,044,345.00</u>
	0 Shs.	\$ 0.00
=====		
<u>Cash</u>		
01/25/10	Date of Death Value	\$ 61.15
01/25/10	Date of Death Value	25,458.00
01/25/10	Date of Death Value	1,140.00
01/25/10	Date of Death Value	2,083.50
01/25/10	Date of Death Value	807.63
01/25/10	Date of Death Value	1,925.00
12/28/10	Date of Death Value	19,941.25
02/09/11	Date of Death Value	8,803.59
01/25/10	Received Jo Hopper's Share	<u>2,083.50</u>
		\$ 62,303.62
01/25/10	Received Jo Hopper's Share	<u>1,140.00</u>
		\$ 63,443.62
01/25/10	Received Jo Hopper's Share	<u>807.63</u>
		\$ 64,251.25
01/25/10	Received Jo Hopper's Share	<u>25,458.00</u>
		\$ 89,709.25
01/25/10	Received Jo Hopper's Share	<u>61.15</u>
		\$ 89,770.40
06/28/10	Received Jo Hopper's Share	<u>454,088.34</u>
		\$ 543,858.74

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Estate Of Max D. Hopper

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		<u>ACCOUNT VALUE</u>
	<u>Cash (cont'd)</u>	
07/02/10	Received Jo Hopper's Share	\$ 383,967.00
		\$ 927,825.74
07/16/10	Received Jo Hopper's Share	\$ 1,925.00
		\$ 929,750.74
07/23/10	Received Jo Hopper's Share	\$ 475,713.65
		\$ 1,405,464.39
08/02/10	Received Jo Hopper's Share	\$ 11.49
		\$ 1,405,475.88
09/01/10	Received Jo Hopper's Share	\$ 39.58
		\$ 1,405,515.46
09/03/10	Received Jo Hopper's Share	\$ 27.40
		\$ 1,405,542.86
09/08/10	Distributed Jo Hopper's Share	\$ 1,925.00
		\$ 1,403,617.86
09/08/10	Distributed Jo Hopper's Share	\$ -23.11
		\$ 1,403,594.75
09/08/10	Distributed Jo Hopper's Share	\$ -931,912.89
		\$ 471,681.86
10/01/10	Received Jo Hopper's Share	\$ 8.94
		\$ 471,690.80
10/04/10	Subsequently Received	\$ 12.50
		\$ 471,703.30
10/04/10	Received Jo Hopper's Share	\$ 12.50
		\$ 471,715.80
11/03/10	Received Jo Hopper's Share	\$ -383,967.00
		\$ 87,748.80
11/09/10	Distributed Jo Hopper's Share	\$ -98,940.00
		\$ 11,191.20
11/09/10	Received Jo Hopper's Share	\$ 98,940.00
		\$ 87,748.80
11/10/10	Received Jo Hopper's Share	\$ 105,056.47
		\$ 192,805.27
11/10/10	Subsequently Received	\$ 105,056.46
		\$ 297,861.73
11/15/10	Distributed Jo Hopper's Share	\$ -193.65
		\$ 297,668.08
11/15/10	Distributed Jo Hopper's Share	\$ -105,056.47
		\$ 192,611.61
11/15/10	Received Jo Hopper's Share	\$ 193.73
		\$ 192,805.34

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		ACCOUNT VALUE
<u>Cash (cont'd)</u>		
12/01/10	Received Jo Hopper's Share	\$ 0.08
		\$ 192,805.42
02/02/11	Received Jo Hopper's Share	9.58
		\$ 192,815.00
02/02/11	Received Jo Hopper's Share	12.59
		\$ 192,827.59
02/03/11	Distributed Jo Hopper's Share	-12.59
		\$ 192,815.00
02/03/11	Distributed Jo Hopper's Share	-9.58
		\$ 192,805.42
02/09/11	Subsequently Received	31,387.60
		\$ 224,193.02
02/09/11	Received Jo Hopper's Share	31,387.61
		\$ 255,580.63
02/09/11	Received Jo Hopper's Share	8,803.58
		\$ 264,384.21
02/10/11	Distributed Jo Hopper's Share	-8,803.58
		\$ 255,580.63
02/14/11	Distributed Jo Hopper's Share	-8.25
		\$ 255,572.38
04/08/11	Received Jo Hopper's Share	4,364.70
		\$ 259,937.08
04/18/11	Subsequently Received	2,931.40
		\$ 262,868.48
04/18/11	Received Jo Hopper's Share	3,327.38
		\$ 266,195.86
04/18/11	Received Jo Hopper's Share	2,931.40
		\$ 269,127.26
04/18/11	Subsequently Received	3,327.38
		\$ 272,454.64
05/26/11	Received Jo Hopper's Share	6,758.14
		\$ 279,212.78
06/17/11	Distributed Jo Hopper's Share	-6,758.14
		\$ 272,454.64
06/17/11	Distributed Jo Hopper's Share	-1,140.00
		\$ 271,314.64
06/21/11	Distributed Jo Hopper's Share	-27,594.84
		\$ 243,719.80
06/22/11	Received Jo Hopper's Share	1,329.21
		\$ 245,049.01



SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		ACCOUNT VALUE
<u>Cash (cont'd)</u>		
06/24/11	Subsequently Received	\$ 82,925.70
		\$ 327,974.71
06/24/11	Received Jo Hopper's Share	82,925.70
		\$ 410,900.41
07/11/11	Distributed Jo Hopper's Share	-321.16
		\$ 410,579.25
09/19/11	Received Jo Hopper's Share	300.00
		\$ 410,879.25
09/19/11	Received Jo Hopper's Share	950.00
		\$ 411,829.25
10/28/11	Received Jo Hopper's Share	2,800.00
		\$ 414,629.25
01/19/12	Received Jo Hopper's Share	5,188.33
		\$ 419,817.58
04/12/12	Subsequently Received	67,337.15
		\$ 487,154.73
04/12/12	Received Jo Hopper's Share	67,337.15
		\$ 554,491.88
05/11/12	Received Jo Hopper's Share	6,458.44
		\$ 560,950.32
05/11/12	Subsequently Received	6,458.43
		\$ 567,408.75
<u>Coin Collection</u>		
01/25/10	1 Shs. Date of Death Value	\$ 34,385.00
10/21/10	1 Shs. Sold	-34,385.00
	0 Shs.	\$ 0.00
<u>Com2001.Com Inc - Restricted</u>		
01/26/10	50,000 Shs. Asset Deemed Worthless	\$ 0.00
10/28/10	50,000 Shs. Deemed Worthless	0.00
	0 Shs.	\$ 0.00

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		ACCOUNT VALUE	
<u>Com2001.Com Inc - Series B - Restricted</u>			
01/26/10	150,000 Shs. Asset Deemed Worthless	\$	0.00
10/28/10	<u>150,000 Shs.</u> Deemed Worthless 0 Shs.	\$	<u>0.00</u> 0.00
=====			
<u>Critical Technologies, Inc - Series A</u> <u>2005 - Restricted</u>			
01/25/10	66,565.50 Shs. Date of Death Value	\$	6,656.55
06/03/11	<u>33,282.75 Shs.</u> Distributed To Stephen Hopper 33,282.75 Shs.	\$	<u>-3,328.27</u> 3,328.28
06/03/11	<u>33,282.75 Shs.</u> Distributed To Laura H. Wassmer 0.00 Shs.	\$	<u>-3,328.28</u> 0.00
=====			
<u>Critical Technologies, Inc - Series A</u> <u>2005 - Restricted</u>			
01/25/10	66,565.5 Shs. Received Jo Hopper's Share	\$	6,656.55
06/03/11	<u>-66,565.5 Shs.</u> Distributed Jo Hopper's Share 0.0 Shs.	\$	<u>-6,656.55</u> 0.00
=====			
<u>Critical Technologies, Inc - Series B -</u> <u>Restricted</u>			
01/25/10	16,665 Shs. Date of Death Value	\$	166.65
01/25/10	<u>5,661 Shs.</u> Deemed Worthless 22,326 Shs.	\$	<u>0.00</u> 166.65
03/01/11	<u>5,661 Shs.</u> Deemed Worthless 16,665 Shs.	\$	<u>0.00</u> 166.65
03/01/11	<u>16,665 Shs.</u> Deemed Worthless 0 Shs.	\$	<u>-166.65</u> 0.00
=====			
<u>Critical Technologies, Inc - Series B -</u> <u>Restricted</u>			
01/25/10	5,661 Shs. Received Jo Hopper's Share	\$	0.00
01/25/10	<u>16,665 Shs.</u> Received Jo Hopper's Share 22,326 Shs.	\$	<u>166.65</u> 166.65
03/01/11	<u>-5,661 Shs.</u> Distributed Jo Hopper's Share 16,665 Shs.	\$	<u>0.00</u> 166.65
03/01/11	<u>-16,665 Shs.</u> Distributed Jo Hopper's Share 0 Shs.	\$	<u>-166.65</u> 0.00
=====			

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SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		<u>ACCOUNT VALUE</u>
<u>Crystal Stems &amp; A Group of Crystal Stems</u>		
01/25/10	1 Shs. Date of Death Value	\$ 504.00
<u>Cyberplus Corporation - Series D - Restricted</u>		
01/26/10	100,000 Shs. Asset Deemed Worthless	\$ 0.00
10/28/10	100,000 Shs. Deemed Worthless 0 Shs.	0.00 \$ 0.00
<u>Director Fees Due From Provider Health Net Services</u>		
01/25/10	Date of Death Value	\$ 7,000.00
<u>Director Fees Due From Various</u>		
01/25/10	Date of Death Value	\$ 16,542.00
<u>Domino's Pizza Inc</u>		
01/31/11	786 Shs. Received Stock Included In Bains Funds Inventory Value	\$ 8,944.68
02/11/11	1 Shs. Sold 785 Shs.	-11.38 \$ 8,933.30
05/17/11	392 Shs. Distributed To Stephen Hopper 393 Shs.	-4,460.96 \$ 4,472.34
05/17/11	392 Shs. Distributed To Laura H. Wassmer 1 Shs.	-4,460.96 \$ 11.38
05/20/11	1 Shs. Sold 0 Shs.	-11.38 \$ 0.00
<u>Domino's Pizza Inc</u>		
01/31/11	785 Shs. Received Stock Included In Bains Funds Inventory Value	\$ 8,933.30
02/09/11	-785 Shs. Distributed Jo Hopper's Share 0 Shs.	-8,933.30 \$ 0.00

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

			ACCOUNT VALUE
<u>E-seed, LLC - Membership Interest Shares</u>			
01/26/10	100,000 Shs. Asset Deemed Worthless	\$	0.00
01/24/11	100,000 Shs. Deemed Worthless		0.00
	0 Shs.	\$	0.00
<hr/>			
<u>EastSide of Hwy 40 C Nob Then 858, e27 To Nob To N4e 290, 12 In Es 79w To 558e 6 Varas Thence W225 To Hwy 40 To Nob Then S31e Blk 4, Pollok</u>			
01/25/10	100 Uts. Date of Death Value	\$	81,000.00
<hr/>			
<u>eCivis, Inc - Restricted</u>			
01/25/10	60,250 Shs. Date of Death Value	\$	7,230.00
11/10/11	30,125 Shs. Distributed To Stephen Hopper		-3,615.00
	30,125 Shs.	\$	3,615.00
11/10/11	30,125 Shs. Distributed To Laura H. Wassmer		-3,615.00
	0 Shs.	\$	0.00
<hr/>			
<u>eCivis, Inc - Restricted</u>			
01/25/10	60,250 Shs. Received Jo Hopper's Share	\$	7,230.00
01/28/11	-60,250 Shs. Distributed Jo Hopper's Share		-7,230.00
	0 Shs.	\$	0.00
<hr/>			
<u>Enosys Software, Inc - Series A - Restricted</u>			
01/26/10	13,145 Shs. Asset Deemed Worthless	\$	0.00
01/24/11	13,145 Shs. Deemed Worthless		0.00
	0 Shs.	\$	0.00
<hr/>			
<u>Enosys Software, Inc - Series B - Restricted</u>			
01/26/10	22,633 Shs. Asset Deemed Worthless	\$	0.00
10/28/10	22,633 Shs. Deemed Worthless		0.00
	0 Shs.	\$	0.00
<hr/>			

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		ACCOUNT VALUE
<u>First Russian Emerging Market Fund</u>		
01/25/10	694.5 Shs. Date of Death Value	\$ 29,773.93
03/18/11	694.5 Shs. Received Cash Due To Merger	\$ -29,773.93
	0.0 Shs.	\$ 0.00
 <u>Flying Needles</u>		
01/25/10	0.5 Shs. Date of Death Value	\$ 15,943.50
 <u>Flying Needles</u> <u>(Assets At Warehouse)</u>		
02/28/11	1 Shs. Received A Check From Jo Hopper	\$ -2,406.00
	-1 Shs.	\$ 2,406.00
02/28/11	1 Shs. Subsequently Received	2,406.00
 <u>Flying Needles</u> <u>(Assets At Warehouse)</u>		
02/28/11	-1 Shs. Distributed Jo Hopper's Share	\$ -2,406.00
02/28/11	1 Shs. Received Jo Hopper's Share	\$ 2,406.00
	0 Shs.	\$ 0.00
 <u>Furniture &amp; Furnishings located</u> <u>9 Robledo, Dallas Texas</u> <u>(Jo Hopper's Share)</u>		
01/25/10	0.5 Shs. Received Jo Hopper's Share	\$ 42,224.50
 <u>Furniture &amp; Furnishings located</u> <u>9 Robledo, Dallas Texas</u>		
01/25/10	0.5 Shs. Date of Death Value	\$ 42,224.50

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		<u>ACCOUNT VALUE</u>
<u>Gabriel Venture Partners, LP</u>		
01/25/10	0.125 Shs. Date of Death Value	\$ 1,656.00
09/19/11	0.125 Shs. Special Distribution	\$ 0.00
		\$ 1,656.00
12/09/11	0.0625 Shs. Distributed To Stephen Hopper	\$ -828.00
	0.0625 Shs.	\$ 828.00
12/09/11	0.0625 Shs. Distributed To Laura H. Wassmer	\$ -828.00
	0 Shs.	\$ 0.00
<u>Gabriel Venture Partners, LP</u>		
01/25/10	0.125 Shs. Received Jo Hopper's Share	\$ 1,656.00
12/09/11	-0.125 Shs. Distributed Jo Hopper's Share	\$ -1,656.00
	0.0 Shs.	\$ 0.00
<u>Gartner Inc</u>		
01/25/10	10,500 Shs. Date of Death Value	\$ 122,815.00
07/12/10	10,500 Shs. Sold	\$ -122,815.00
	0 Shs.	\$ 0.00
<u>Gartner Inc Class A</u>		
01/25/10	18,535.5 Shs. Date of Death Value	\$ 394,064.73
06/28/10	0.5 Shs. Sold	\$ -10.63
	18,535.0 Shs.	\$ 394,054.10
07/01/10	18,535.0 Shs. Sold	\$ -394,054.10
	0.0 Shs.	\$ 0.00
<u>General Atlantic Partners</u>		
01/25/10	0.0118 Shs. Date of Death Value	\$ 281.50
01/25/10	0.0118 Shs. Sold	\$ -281.50
	0 Shs.	\$ 0.00

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		ACCOUNT VALUE
<u>Golf Club Collection</u>		
01/25/10	6,784 Shs. Date of Death Value	\$ 153,824.50
<u>Golf Club Collection (Jo Hopper's Share)</u>		
01/26/10	6,784 Shs. Received Jo Hopper's Share	\$ 153,824.50
<u>GT Nexus, Inc</u>		
01/25/10	85,416.50 Shs. Date of Death Value	\$ 76,020.68
01/26/10	42,708.25 Shs. Distributed To Laura H. Hopper	-38,010.34
	42,708.25 Shs.	\$ 38,010.34
01/26/10	42,708.25 Shs. Distributed To Stephen Hopper	-38,010.34
	0.00 Shs.	\$ 0.00
<u>Hopper Family Quilts</u>		
01/25/12	2 Shs. Date of Death Value	\$ 235.00
<u>Insight Capital Partners Co Investors III</u>		
01/25/10	0.22 Shs. Date of Death Value	\$ 18,254.00
01/26/10	0.11 Shs. Distributed To Stephen Hopper	-9,127.00
	0.11 Shs.	\$ 9,127.00
01/26/10	0.11 Shs. Distributed To Laura H. Wassmer	-9,127.00
	0.00 Shs.	\$ 0.00
<u>Insight Capital Partners IV</u>		
01/25/10	0.155 Shs. Date of Death Value	\$ 604,283.00
01/26/10	0.0775 Shs. Distributed To Stephen Hopper	-302,141.50
	0.0775 Shs.	\$ 302,141.50
01/26/10	0.0775 Shs. Distributed To Laura H. Wassmer	-302,141.50
	0 Shs.	\$ 0.00

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		ACCOUNT VALUE
<u>Instantis, Inc</u>		
01/25/10	383,338.50 Shs. Date of Death Value	\$ 134,166.72
01/26/10	<u>191,669.25 Shs.</u> Distributed To Stephen Hopper	<u>-67,083.36</u>
	191,669.25 Shs.	\$ 67,083.36
01/26/10	<u>191,669.25 Shs.</u> Distributed To Laura H. Hopper	<u>-67,083.36</u>
	0.00 Shs.	\$ 0.00
=====		
<u>Jamcracker, Inc</u>		
01/25/10	14.50 Shs. Date of Death Value	\$ 3.33
01/26/10	<u>7.25 Shs.</u> Distributed To Stephen Hopper	<u>-1.67</u>
	7.25 Shs.	\$ 1.66
01/26/10	<u>7.25 Shs.</u> Distributed To Laura H. Wassmer	<u>-1.66</u>
	0.00 Shs.	\$ 0.00
=====		
<u>Jamcracker, Inc</u>		
01/25/10	95,625.0 Shs. Date of Death Value	\$ 17,212.50
01/26/10	<u>47,812.5 Shs.</u> Distributed To Stephen Hopper	<u>-8,606.25</u>
	47,812.5 Shs.	\$ 8,606.25
01/26/10	<u>47,812.5 Shs.</u> Distributed To Laura H. Hopper	<u>-8,606.25</u>
	0.0 Shs.	\$ 0.00
=====		
<u>Jewelry</u>		
01/25/10	0.5 Shs. Date of Death Value	\$ 1,945.00
=====		
<u>Jewelry</u> <u>(Jo's Share)</u>		
01/25/10	0.5 Shs. Received Jo Hopper's Share	\$ 1,945.00
=====		
<u>Jewelry (including two cuff links)</u>		
01/25/10	1.0 Shs. Date of Death Value	\$ 525.00
11/30/11	<u>0.5 Shs.</u> Distributed To Laura H. Wassmer	<u>-262.50</u>
	0.5 Shs.	\$ 262.50
11/30/11	<u>0.5 Shs.</u> Distributed To Stephen Hopper	<u>-262.50</u>
	0.0 Shs.	\$ 0.00
=====		



SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

			<u>ACCOUNT VALUE</u>
 <u>Marketworks, Inc - Restricted</u>			
01/26/10	7,132 Shs. Asset Deemed Worthless	\$	0.00
01/31/11	<u>7,132 Shs.</u> Deemed Worthless 0 Shs.	\$	<u>0.00</u> 0.00
 <u>Matthews Communications Management, Inc - Restricted</u>			
01/26/10	40,000 Shs. Asset Deemed Worthless	\$	0.00
10/28/10	<u>40,000 Shs.</u> Deemed Worthless 0 Shs.	\$	<u>0.00</u> 0.00
 <u>Max Hopper &amp; Associates</u>			
01/25/10	1 Shs. Date of Death Value	\$	3,177.51
01/26/10	<u>1 Shs.</u> Sold	\$	<u>-242.01</u>
	1 Shs.	\$	2,935.50
02/28/11	<u>1 Shs.</u> 50 % Appraised Value 0 Shs.	\$	<u>-2,935.50</u> 0.00
 <u>Max Hopper &amp; Associates (Furniture &amp; Equipment)</u>			
01/25/10	1 Shs. Received Jo Hopper's Share	\$	2,935.50
11/30/11	<u>-1 Shs.</u> Distributed Jo Hopper's Share 0 Shs.	\$	<u>-2,935.50</u> 0.00
 <u>Medidata Solutions Inc</u>			
12/28/10	554.5 Shs. Received Stock Included In Bains Funds Inventory Value	\$	8,776.55
02/02/11	<u>0.5 Shs.</u> Sold 554.0 Shs.	\$	<u>-7.91</u> 8,768.64
05/17/11	<u>277.0 Shs.</u> Distributed To Laura H. Wassmer 277.0 Shs.	\$	<u>-4,384.32</u> 4,384.32
05/17/11	<u>277.0 Shs.</u> Distributed To Stephen Hopper 0.0 Shs.	\$	<u>-4,384.32</u> 0.00

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

			ACCOUNT VALUE
<u>Medidata Solutions Inc</u>			
12/28/10	554 Shs.	Received Stock Included In Bains Funds Inventory Value	\$ 8,768.64
05/17/11	<u>-554 Shs.</u> 0 Shs.	Distributed Jo Hopper's Share	<u>-8,768.64</u> 0.00
<u>Metawave Communications Corp</u>			
01/26/10	183 Shs.	Asset Deemed Worthless	\$ 0.00
03/01/11	<u>183 Shs.</u> 0 Shs.	Deemed Worthless	<u>0.00</u> 0.00
<u>Municipal Mortgage &amp; Equity LLC Growth Shares</u>			
08/19/10	220 Shs.	Date of Death Value	\$ 88.50
09/01/11	<u>110 Shs.</u> 110 Shs.	Distributed To Stephen Hopper	<u>-44.25</u> 44.25
09/01/11	<u>110 Shs.</u> 0 Shs.	Distributed To Laura H. Wassmer	<u>-44.25</u> 0.00
<u>Municipal Mortgage &amp; Equity LLC Growth Shares</u>			
08/19/10	220 Shs.	Received Jo Hopper's Share	\$ 88.50
12/21/10	<u>-220 Shs.</u> 0 Shs.	Distributed Jo Hopper's Share	<u>-88.50</u> 0.00
<u>Olive Green Canvas Duffel Bag &amp; Personal Military Uniforms</u>			
01/25/12	1 Shs.	Date of Death Value	\$ 40.00
<u>Perficient, Inc.</u>			
01/25/10	103 Shs.	Date of Death Value	\$ 966.14
02/02/11	<u>8 Shs.</u> 95 Shs.	Sold	<u>-75.04</u> 891.10
02/04/11	<u>94 Shs.</u> 1 Shs.	Sold	<u>-881.72</u> 9.38
05/20/11	<u>1 Shs.</u> 0 Shs.	Sold	<u>-9.38</u> 0.00

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SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		<u>ACCOUNT VALUE</u>
<u>Perficient Inc.</u>		
01/25/10	27,500 Shs. Date of Death Value	\$ 89,950.00
02/04/11	7,898 Shs. Sold	<del>-25,833.64</del>
	19,602 Shs.	\$ 64,116.36
05/17/11	9,801 Shs. Distributed To Stephen Hopper	<del>-32,058.18</del>
	9,801 Shs.	\$ 32,058.18
05/17/11	9,801 Shs. Distributed To Laura H. Wassmer	<del>-32,058.18</del>
	0 Shs.	\$ 0.00
=====		
<u>PGP</u>		
01/25/10	57,500 Shs. Date of Death Value	\$ 215,050.00
07/02/10	57,500 Shs. Received Due To Cash Merger With Symantec	<del>-215,050.00</del>
	0 Shs.	\$ 0.00
=====		
<u>PGP</u>		
01/25/10	25,000 Shs. Date of Death Value	\$ 4,750.00
07/02/10	25,000 Shs. Received Due To Cash Merger With Symantec	<del>-4,750.00</del>
	0 Shs.	\$ 0.00
=====		
<u>Pointserve Inc - Restricted</u>		
01/25/10	2,500 Shs. Date of Death Value	\$ 0.50
=====		
<u>Pointserve Inc - Restricted</u> <u>(Jo Hopper's Share)</u>		
01/25/10	2,500 Shs. Received Jo Hopper's Share	\$ 0.50
=====		
<u>Provider Health Net Services</u>		
01/25/10	17,180.5 Shs. Date of Death Value	\$ 75,979.23
07/02/10	17,180.5 Shs. Received Due To Cash Merger	<del>-75,979.23</del>
	0.0 Shs.	\$ 0.00
=====		

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		<u>ACCOUNT VALUE</u>
<u>Provider Healthnet Services Inc - Class</u>		
<u>B - Restricted</u>		
01/25/10	7,142.5 Shs. Date of Death Value	\$ 31,587.07
07/02/10	<u>7,142.5 Shs.</u> Received Due To Cash Merger	<u>31,587.07</u>
	0.0 Shs.	\$ 0.00
<hr/>		
<u>Provider Healthnet Services Inc - Class</u>		
<u>B</u>		
01/25/10	62,500 Shs. Date of Death Value	\$ 276,400.69
07/02/10	<u>62,500 Shs.</u> Received Due To Cash Merger	<u>276,400.69</u>
	0 Shs.	\$ 0.00
<hr/>		
<u>Quest Software Inc</u>		
01/25/10	1,024 Shs. Date of Death Value	\$ 17,838.08
05/17/11	<u>512 Shs.</u> Distributed To Laura H. Wassmer	<u>8,919.04</u>
	512 Shs.	\$ 8,919.04
05/17/11	<u>512 Shs.</u> Distributed To Stephen Hopper	<u>8,919.04</u>
	0 Shs.	\$ 0.00
<hr/>		
<u>Realpage Inc</u>		
01/25/10	25,000 Shs. Date of Death Value	\$ 0.50
06/30/10	<u>25,000 Shs.</u> Decrease in Reappraisement	<u>0.26</u>
	25,000 Shs.	\$ 0.24
07/01/10	<u>12,500 Shs.</u> Reverse Stock Split On 25,000	<u>0.00</u>
	12,500 Shs. Shares of Realpage Inc	\$ 0.24
04/20/11	<u>6,250 Shs.</u> Distributed To Stephen Hopper	<u>0.12</u>
	6,250 Shs.	\$ 0.12
04/20/11	<u>6,250 Shs.</u> Distributed To Laura H. Wassmer	<u>0.12</u>
	0 Shs.	\$ 0.00
<hr/>		

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

			ACCOUNT VALUE
<u>Realpage Inc</u>			
01/25/10	200,000 Shs.	Date of Death Value	\$ 566,000.00
06/30/10	200,000 Shs.	Decrease in Reappraisalment	-283,000.00
			\$ 283,000.00
07/01/10	100,000 Shs.	Reverse Stock Split On 200,000 Shares of Realpage Inc	0.00
	100,000 Shs.		\$ 283,000.00
02/24/11	4,000 Shs.	Sold	-11,320.00
	96,000 Shs.		\$ 271,680.00
04/01/11	4,000 Shs.	Sold	-11,320.00
	92,000 Shs.		\$ 260,360.00
04/20/11	46,000 Shs.	Distributed To Laura H. Wassmer	-130,180.00
	46,000 Shs.		\$ 130,180.00
04/20/11	46,000 Shs.	Distributed To Stephen Hopper	-130,180.00
	0 Shs.		\$ 0.00
<u>Sevin Rosen V Affiliates Fund LP</u>			
01/25/10	1.151 Shs.	Date of Death Value	\$ 2,720.50
10/22/10	1.151 Shs.	Received Cash Due to Liquidation	-2,720.50
	0.0 Shs.		\$ 0.00
<u>Sevin Rosen VI Affiliates Fund LP</u>			
01/25/10	0.227 Shs.	Date of Death Value	\$ 4,242.00
11/10/10	0.227 Shs.	Sold	-4,242.00
	0.0 Shs.		\$ 0.00
<u>Sevin Rosen VII Affiliates Fund LP</u>			
01/25/10	0.2335 Shs.	Date of Death Value	\$ 5,253.50
01/26/10	0.1167 Shs.	Distributed To Laura H. Wassmer	-2,626.75
	0.1168 Shs.		\$ 2,626.75
01/26/10	0.1168 Shs.	Distributed To Stephen Hopper	-2,626.75
	0 Shs.		\$ 0.00

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		ACCOUNT VALUE
<u>Sevin Rosen VIII LP</u>		
01/25/10	0.0286 Shs. Date of Death Value	\$ 38,312.00
01/26/10	0.0143 Shs. Distributed To Laura H. Wassmer	-19,156.00
	0.0143 Shs.	\$ 19,156.00
01/26/10	0.0143 Shs. Distributed To Stephen Hopper	-19,156.00
	0 Shs.	\$ 0.00
=====		
<u>Sierra Atlantic, Inc - Restricted</u>		
01/25/10	40,000 Shs. Received Jo Hopper's Share	\$ 80,800.00
12/28/10	<del>40,000 Shs.</del> Distributed Jo Hopper's Share	-80,800.00
	0 Shs.	\$ 0.00
=====		
<u>Sierra Atlantic, Inc - Restricted</u>		
01/25/10	40,000 Shs. Date of Death Value	\$ 80,800.00
06/28/11	<del>40,000 Shs.</del> Sold	-80,800.00
	0 Shs.	\$ 0.00
=====		
<u>Sierra Atlantic, Inc - Series A - Restricted</u>		
01/25/10	50,000 Shs. Received Jo Hopper's Share	\$ 101,000.00
12/28/10	<del>50,000 Shs.</del> Distributed Jo Hopper's Share	-101,000.00
	0 Shs.	\$ 0.00
=====		
<u>Sierra Atlantic, Inc - Series A - Restricted</u>		
01/25/10	50,000 Shs. Date of Death Value	\$ 101,000.00
06/28/11	<del>50,000 Shs.</del> Sold	-101,000.00
	0 Shs.	\$ 0.00
=====		

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		ACCOUNT VALUE
<u>Small Desk &amp; Bench</u>		
01/25/10	1 Shs. Date of Death Value	\$ 60.00
=====		
<u>Solarwinds, Inc</u>		
12/28/10	917.5 Shs. Received Stock Included In Insight Venture Inventory Value	\$ 17,602.24
02/02/11	0.5 Shs. Sold	-9.59
	917.0 Shs.	\$ 17,592.65
05/17/11	458.0 Shs. Distribution To Laura H. Wassmer	-8,786.73
	459.0 Shs.	\$ 8,805.92
05/17/11	458.0 Shs. Distribution To Stephen Hopper	-8,786.73
	1.0 Shs.	\$ 19.19
05/20/11	1.0 Shs. Sold	-19.19
	0.0 Shs.	\$ 0.00
=====		
<u>The Estates, Blk 15/6378 Lt 13</u>		
<u>Vol 97041/1724 Dd022497 Co-Dallas 6378</u>		
<u>015 01800 1006378 015</u>		
01/25/10	50 Uts. Date of Death Value	\$ 967,500.00
=====		
<u>Tibersoft Corporation - Restricted</u>		
01/25/10	713.5 Shs. Date of Death Value	\$ 285.40
01/26/10	0.5 Shs. Subsequently Received	0.20
	714.0 Shs.	\$ 285.60
09/13/11	357.0 Shs. Distributed To Stephen Hopper	-142.80
	357.0 Shs.	\$ 142.80
09/13/11	357.0 Shs. Distributed To Laura H. Wassmer	-142.80
	0.0 Shs.	\$ 0.00
=====		
<u>Tibersoft Corporation - Restricted</u>		
01/25/10	713 Shs. Received Jo Hopper's Share	\$ 285.40
03/31/11	-713 Shs. Distributed Jo Hopper's Share	-285.40
	0 Shs.	\$ 0.00
=====		

SCHEDULE F (cont'd)  
EXCHANGES AND STOCK DISTRIBUTIONS (cont'd)

		<u>ACCOUNT</u> <u>VALUE</u>
<u>Wine (1964 Chateau Latour</u>		
<u>&amp; 1986 Chateau Margaux)</u>		
01/25/10	1 Shs. Date of Death Value	\$ 1,230.00
<hr/>		
<u>Wine Collection</u>		
01/25/10	0.5 Shs. Date of Death Value	\$ 76,464.50
01/19/12	Received From Sale Of Wine In Hong Kong	<del>\$ 5,188.34</del>
	0.5 Shs.	<del>\$ 71,276.16</del>
<hr/>		
<u>Wine Collection</u>		
<u>(Jo Hopper's Share)</u>		
01/19/12	0.5 Shs. Received Jo Hopper's Share	\$ 71,276.16
<hr/>		



SCHEDULE G  
PRINCIPAL REMAINING ON HAND

	FIDUCIARY ACQUISITION VALUE	VALUE AT 05/31/2012	INCREASE OR DECREASE
<u>Real Estate</u>			
100 Uts. Eastside of Hwy 40 @ Nob Then N58,e27 To Nob, To N4e 290;12 In Bs79w To Bs58e 6 Varas Thence W225 To Hwy 40 To Nob Then S31e Blk 4, Pollok	\$ 81,000.00	\$ 81,000.00	\$ 0.00
50 Uts. The Estates Blk 15/6378 Lt 18 Vol97041/1724 Dd022497 Co-Dallas 6378 015 01800 1006378 015	967,500.00	967,500.00	0.00
<u>Limited Partnerships</u>			
.7157 Shs. Behrman Capital, LP	17,328.50	4,902.00	-12,426.50
.7157 Shs. Behrman Capital, LP (Jo Hopper's Share)	17,328.50	4,902.00	-12,426.50
<u>Other</u>			
Director Fees Due From Provider Health Net Services	7,000.00	7,000.00	0.00
Director Fees Due From Various	16,542.00	16,542.00	0.00
<u>Other</u>			
.5 Shs. Art	54,225.00	54,225.00	0.00
1 Shs. Art (separate property)	1,750.00	1,750.00	0.00
1 Shs. Baker's Rack	220.00	220.00	0.00
1 Shs. Crystal Stems & A Group of Crystal Stems	504.00	504.00	0.00
.5 Shs. Flying Needles	15,943.50	15,943.50	0.00
.5 Shs. Furniture & Furnishings located 9 Robledo, Dallas Texas (Jo Hopper's Share)	42,224.50	42,224.50	0.00
.5 Shs. Furniture & Furnishings located 9 Robledo, Dallas Texas	42,224.50	42,224.50	0.00
6,784 Shs. Golf Club Collection	153,824.50	153,824.50	0.00
6,784 Shs. Golf Club Collection (Jo Hopper's Share)	153,824.50	153,824.50	0.00
2 Shs. Hopper Family Quilts	235.00	235.00	0.00
.5 Shs. Jewelry	1,945.00	1,945.00	0.00
.5 Shs. Jewelry (Jo's Share)	1,945.00	1,945.00	0.00
1 Shs. Olive Green Canvas Duffel Bag & Personal Military Uniforms	40.00	40.00	0.00

Schedule G  
Page 53

FORWARD

\$ 1,575,604.50	\$ 1,550,751.50	\$ -24,853.00
A/C #P19276008 Estate Of Max D. Hopper		

SCHEDULE G (cont'd)  
PRINCIPAL REMAINING ON HAND (cont'd)

FORWARD	\$	1,575,604.50	\$	1,550,751.50	\$	-24,853.00
 <u>Other (cont'd)</u>						
1 Shs. Small Desk & Bench		60.00		60.00		0.00
1 Shs. Wine (1964 Chateau Latour & 1986 Chateau Margaux)		1,230.00		1,230.00		0.00
.5 Shs. Wine Collection		71,276.16		71,276.16		0.00
.5 Shs. Wine Collection (Jo Hopper's Share)		71,276.16		71,276.16		0.00
 <u>Preferred Stock</u>						
2,500 Shs. Pointserve Inc - Restricted		0.50		0.50		0.00
2,500 Shs. Pointserve Inc - Restricted (Jo Hopper's Share)		0.50		0.50		0.00
	\$	1,719,447.82	\$	1,694,594.82	\$	-24,853.00
Jo Hopper's Share		166,020.77		166,020.77		0.00
Invested Cash Funds		1,571,762.16		1,571,762.16		0.00
 TOTAL PRINCIPAL REMAINING ON HAND	 \$	 3,457,230.75	 \$	 3,432,377.75	 \$	 -24,853.00

SCHEDULE A-2  
INCOME COLLECTED

Gabriel Venture Partners, LP

09/19/11 Dividend 0.125 Shs. \$ 950.00

JPMorgan Deposit Sweep Institutional  
Money Market Fund

08/02/10 Interest	\$ 70.06	
09/01/10 Interest	45.39	
09/03/10 Interest Owed To Jo Hopper's Share	-27.40	
10/01/10 Interest	43.02	
11/01/10 Interest	43.96	
11/30/10 Interest	3.16	
12/01/10 Interest	62.19	
01/03/11 Interest	62.79	
02/01/11 Interest	109.33	
03/01/11 Interest	268.49	
04/01/11 Interest	219.06	
05/02/11 Interest	178.70	
06/01/11 Interest	136.27	
07/01/11 Interest	140.00	
08/01/11 Interest	156.78	
08/01/11 Interest		
(Jo Hopper's Share)	2.05	
09/01/11 Interest	144.10	
09/01/11 Interest		
(Jo Hopper's Share)	3.52	
10/03/11 Interest	134.88	
10/03/11 Interest		
(Jo Hopper's Share)	3.43	
11/01/11 Interest	131.86	
11/01/11 Interest		
(Jo Hopper's Share)	3.59	
12/01/11 Interest	118.94	
12/01/11 Interest		
(Jo Hopper's Share)	3.58	
01/01/12 Interest	113.18	
01/01/12 Interest		
(Jo Hopper's Share)	3.70	
02/01/12 Interest	65.93	
02/01/12 Interest		
(Jo Hopper's Share)	2.35	
03/01/12 Interest	52.50	
03/01/12 Interest		
(Jo Hopper's Share)	2.19	
04/01/12 Interest	50.04	
04/01/12 Interest		
(Jo Hopper's Share)	2.34	
05/01/12 Interest	43.24	
05/01/12 Interest		
(Jo Hopper's Share)	2.93	2,396.15

Received Interest On 2010 Federal  
Employer Tax Refund

06/22/11 For Estate's Share Of Interest On		
2010 Refund (Total Received \$4,273.67		
Less Accrued Through DOD; \$1,615.26)	\$ 1,329.20	
11/25/11 For 2010 Refund	183.58	1,512.78

Sierra Atlantic, Inc. - Series A -  
Restricted

08/05/11 Dividend		5,003.37
TOTAL INCOME COLLECTED	\$	9,862.30

Schedule A-2  
Page 55

A/C #P19276008  
Estate Of Max D. Hopper

SCHEDULE A-3  
REALIZED INCREASES DUE TO SALES OR MATURITIES

None

SCHEDULE B-1  
REALIZED DECREASES DUE TO SALES OR MATURITIES

None

SCHEDULE C-2  
ADMINISTRATION EXPENSES CHARGEABLE TO INCOME

None

Schedule C-2  
Page 58

A/C #P19276008  
Estate Of Max D. Hopper

EXHIBIT A

PAGE 62

SCHEDULE E-1  
DISTRIBUTIONS OF INCOME

None

SCHEDULE F-1  
NEW INVESTMENTS

None



SCHEDULE F-1  
EXCHANGES AND STOCK DISTRIBUTIONS

None

SCHEDULE G-1  
INCOME REMAINING ON HAND

	<u>FIDUCIARY ACQUISITION VALUE</u>	<u>VALUE AT 05/31/2012</u>	<u>INCREASE OR DECREASE</u>
Jo Hopper's Share	\$ 29.68	\$ 29.68	\$ 0.00
Invested Cash Funds	9,832.62	9,832.62	0.00
 TOTAL INCOME REMAINING ON HAND	 \$ 9,862.30	 \$ 9,862.30	 \$ 0.00

SCHEDULE H  
STATEMENT OF INTERESTED PARTIES

Serial Number	% Share	Name
1.	50%	Community Property Share To Jo Hopper
2.	25%	Stephen Hopper, Son
3.	25%	Laura H. Wassmer, Daughter

SCHEDULE I  
COMMISSIONS

None

Schedule I  
Page 64

A/C #P19276008  
Estate Of Max D. Hopper

EXHIBIT A

PAGE 68

SCHEDULE J  
PRINCIPAL CASH ACCOUNT RECONCILIATION

Receipts

Cash on Hand on Date of Death, per		
Inventory on file.....	\$	65,457.03
Additional Principal Receipts, per		
Schedule A.....		507,460.23
Proceeds on Sales, per Schedule A-1.....	\$	8,260,143.87
Proceeds on Sales, per Schedule B.....		252,098.60
		<u>8,512,242.47</u>
	\$	<u>9,085,159.73</u>

Disbursements

Disbursements, per Schedule C.....		2,338,957.78
Disbursements, per Schedule D.....		35,299.36
Distributions to Beneficiaries, per		
Schedule E.....		4,973,119.66
Cash on Hand for distribution to		
Beneficiaries, per Schedule G.....		<u>1,737,782.93</u>
	\$	<u>9,085,159.73</u>

SCHEDULE J  
INCOME CASH ACCOUNT RECONCILIATION

Receipts

Cash Received per Schedule A-2.....	\$ 9,862.30
	<u>\$ 9,862.30</u>
	=====

Disbursements

Cash on Hand for distribution to	\$ 9,862.30
Beneficiaries, per Schedule G-1.....	<u>\$ 9,862.30</u>
	=====

SCHEDULE K  
ESTATE TAXES PAID AND ALLOCATION THEREOF

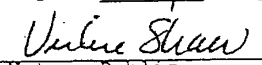
None

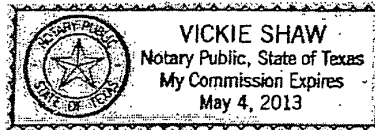
AFFIDAVIT

State of Texas        )  
County of Dallas     ) ss:

I, Susan H. Novak, a Vice President of JPMorgan Chase Bank, N.A., as Executor being duly sworn, deposes and says: That the foregoing account contains according to the best of my knowledge and belief, a true statement of all receipts and disbursements as Executor of the Estate Of Max D. Hopper during the period covered by the account and of all moneys or other property belonging to the Estate which have come into my hands, as Executor, or been received by any other person by my order or authority for my use during the period covered by the account and that I do not know of any error or omission in the account to the prejudice of any creditor of, or person interested in, the Estate.

  
Susan H. Novak, Vice President

Sworn to before me this  
31<sup>st</sup> day of July, 2012  
  
Notary Public





J.P.Morgan

April 15, 2010

Ms. Jo N. Hopper  
9 Robledo Drive  
Dallas, Texas 75230

Mr. Stephen Hopper  
3625 North Classen Blvd  
Oklahoma City, Oklahoma 73118

Ms. Laura S. Wassmer  
8005 Roe Avenue  
Prairie Village, Kansas 66208

Re: Estate of Max C. Hopper

Dear Jo, Laura, and Stephen:

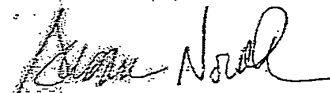
During our recent communications, I promised to send you a letter in which I would set forth the financial terms upon which JPMorgan Chase Bank, N.A. would serve as independent administrator of the Estate of Max D. Hopper. Clearly, we are agreeing to serve on the basis of our standard fees for service as an executor of an estate, and will not be charging the fees that could be charged if we were follow the provisions of Section 241 of the Texas Probate Code which governs compensation for personal representatives who are under court supervision.

The fees we propose to charge are set forth in the attached fee schedule. We will be providing you with periodic financial reports that will show you the receipts and disbursements that are being collected and paid during the course of the administration of Mr. Hopper's estate, and these reports also will disclose any fee charges assessed and collected by JPMorgan Chase Bank, N.A. in its capacity as independent administrator.

I am here to answer any questions that any of you may have that develop during the course of the administration of Mr. Hopper's estate, and I would encourage you to ask those questions as they develop.

I am sending to each of you two copies of this letter with the attached fee schedule, and if you approve of the basis upon which we will provide these services, please sign the duplicate copy of the letter I am providing and return the duplicate copy to me in the postage paid envelope I am providing for that purpose.

Sincerely,

  
Susan H. Novak  
Vice President

TX1-2979, 2200 Ross Avenue, 7th Floor, Dallas, Texas 75201

JPMorgan Chase Bank, N.A.

EXHIBIT B

PAGE 1

Page 2

J.P.Morgan

I agree to your service as independent administrator on the basis you have outlined in this letter.


  
Date: April 27, 2010

EXHIBIT B

PAGE 2

## Estate Settlement Services

### Fee Schedule - Texas

JPMorgan handles estates of all sizes and types—professionally and impartially. When you name JPMorgan Chase Bank, N.A. as personal representative, executor or agent for the executor, there's security in the knowledge that professionals will handle all estate settlement responsibilities.

With our competitive pricing schedule, fees are structured so that we provide cost-effective service.

#### Estate Settlement Services Include:

- Locating financial records
- Gathering estate assets
- Safeguarding property
- Notifying beneficiaries
- Identifying and paying debts
- Collecting amounts owed to the estate
- Determining cash flow needs and record maintenance
- Making decisions about tax deductions, asset valuations and distributions
- Managing and preserving assets
- Making decisions about which assets to sell (and when to sell them)
- Validating claims against the estate
- Supervising litigation, if necessary
- Paying taxes and other estate expenses
- Filing required estate and income tax returns
- Preparing necessary inventory or court accounting
- Remaining impartial to determine what to distribute to beneficiaries or trusts based on specifications in the will or state laws

#### Fees

JPMorgan's Estate Settlement Services are priced on the market value of all assets included on the federal estate tax return. These fees are not annual charges. Rather, they apply to the entire estate settlement period.

#### Account Administration Fee<sup>1</sup>

Market Value	Minimum fee: \$10,000
First \$2 million	3.0%
Over \$2 million	2.0%

Property currently managed by JPMorgan, in a trust or an investment management account, will be subject to a discount before applying the Account Administration fee.

Additional fees<sup>2</sup> are charged for selected services and assistance, including:

- Tax services
- Alternative asset management
- Litigation regarding account assets

#### Co-fiduciary Services

When requested, JPMorgan Chase Bank, N.A. will be pleased to serve with an individual as a co-fiduciary. Compensation paid to the co-fiduciary will be in addition to our Estate Settlement fees. The same fee applies when JPMorgan Chase Bank, N.A. acts as agent for executors.

#### Legal Representation and Other Professional Services

Legal counsel is retained on every account we administer. The attorney represents the estate in court and oversees legal matters during estate administration. Attorney fees, as well as charges by other outside professionals, are an expense of the estate and are in addition to our Estate Settlement fees.



**Footnotes:**

1. Property, insurance, annuities and qualified plans not collected by, or payable to JPMorgan Chase Bank, N.A. may be subject to a discount before applying the Account Administration fee.
2. Please refer to the Additional Services Fee Schedule for all applicable fees.

**General Notes:**

- Investments in JPMorgan Funds are made in Institutional, Select or Ultra shares, as appropriate, which have no sales load or 12b-1 fees. Investment management fees, administrative fees, distribution fees and other fees for services rendered are paid to JPMorgan Investment Advisors Inc. and its affiliates by JPMorgan Funds. Your advisor can provide copies of mutual fund prospectuses describing such fees, as well as the most recent average annual fees charged by the funds in which your assets are invested.
- Your advisor can provide you with separate fee schedules for additional services including, but not limited to, closely held assets, trust-owned life insurance policies and annuities, farm and ranch properties, oil, gas and mineral interests, real estate and tax services.
- Overdraft charges will be assessed based on the Prime Rate in effect as published by "The Wall Street Journal" Money Rates section.

JPMorgan Chase & Co. and its affiliates do not render tax advice. For tax advice specific to your situation, please consult your tax advisor. Estate planning requires legal assistance. JPMorgan Chase & Co. does not practice estate planning law.

Contact JPMorgan Distribution Services, Inc. at 1-800-480-4111 or visit [www.jpmorganfunds.com](http://www.jpmorganfunds.com), for a fund prospectus. Investors should carefully consider the investment objectives, risk, as well as charges and expenses of the mutual fund carefully before investing. The prospectus contains this and other information about the mutual fund. Read the prospectus carefully before investing.

JPMorgan Funds are distributed by JPMorgan Distribution Services, Inc., which is an affiliate of JPMorgan Chase & Co. Affiliates of JPMorgan Chase & Co. receive fees for providing various services to the funds.

Products and services, including fiduciary and custody products and services, are offered through JPMorgan Chase Bank, N.A. and its affiliates. Securities (including mutual funds) and certain investment advisory services are provided by J.P. Morgan Securities Inc., member NYSE, NASD and SIPC, or Chase Investment Services Corp., member NASD and SIPC. J.P. Morgan Securities Inc. and Chase Investment Services Corp. are affiliates of JPMorgan Chase Bank, N.A. Insurance products are provided by various insurance companies and offered through JPMorgan Insurance Agency, Inc. Products not available in all states.

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